# A grid of MARCS model atmospheres for late-type stars I. Methods and general properties

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#### **ABSTRACT**

Context. In analyses of stellar spectra and colours, and for the analysis of integrated light from galaxies, a homogeneous grid of model atmospheres of late-type stars and corresponding flux spectra is needed.

Aims. To construct an extensive grid of spherically symmetric models (supplemented with plane-parallel ones for the highest surface gravities), built on up-to-date atomic and molecular data, and make it available for public use.

Methods. The most recent version of the MARCS program is used.

Results. We present a grid of about  $10^4$  model atmospheres for stars with  $2500 \, \text{K} \le T_{\text{eff}} \le 8000 \, \text{K}$ ,  $-1 \le \log g = \log(GM/R^2) \le 5 \, (\text{cgs})$  with various masses and radii,  $-5 \le [\text{Me/H}] \le +1$ , with  $[\alpha/\text{Fe}] = 0.0$  and 0.4 and different choices of C and N abundances, including "CN-cycled" models with C/N = 4.07 (solar), 1.5 and 0.5, C/O ranging from 0.09 to (normally) 5.0 to also represent stars of spectral types R, S and N, and with  $1.0 \le \xi_t \le 5 \, \text{km/s}$ . We also list thermodynamic quantities  $(T, P_g, P_e, \rho, \text{partial pressures of molecules}, \text{etc})$  and provide them on the World Wide Web, as well as calculated fluxes in approximately 108,000 wavelength points. Underlying assumptions in addition to 1D stratification (spherical or plane-parallel) include hydrostatic equilibrium, mixing-length convection and LTE. A number of general properties of the models are discussed, in particular in relation to the effects of changing abundances, of blanketing and of sphericity. We have found and illustrate positive and negative feed-backs between sphericity and molecular blanketing. Models are compared with other available grids and excellent agreement is found with plane-parallel models of Castelli and Kurucz (if convection is treated consistently) within the overlapping parameter range. Although there are considerable departures from the spherically symmetric NextGen models, the agreement with more recent PHOENIX models is gratifying.

Conclusions. The models of the grid show considerable regularities, but some interesting departures from general patterns occur for the coolest models due to the molecular opacities. We have tested a number of approximate "rules of thumb" concerning effects of blanketing and sphericity and found them to often be astonishingly accurate. Some interesting new phenomena have been discovered and explored, such as the intricate coupling between blanketing and sphericity, and the strong effects of carbon enhancement on metal-poor models. We give further details of line absorption data for molecules, as well as details of models and comparisons with observations in subsequent papers.

**Key words.** Stars: atmospheres - Stars: abundances - Stars: fundamental parameters - Stars: general - Stars: late-type - Stars: supergiants

# 1. Introduction

Since the first grids of line-blanketed model-atmospheres for late-type stars were published (Carbon & Gingerich 1969; Querci, Querci & Tsuji 1974; Peytremann 1974; Gustafsson et al. 1975; Kurucz 1979; Johnson, Bernat & Krupp 1980), there has been a very impressive improvement in underlying data, in particular for atomic and molecular absorption. Accurate continuous absorption coefficients for a number of heavy elements have been calculated within the Opacity Project (Seaton et al. 1994), and the Iron Project (Bautista 1997). The Opacity Project also contributed accurate transition probabilities for a wealth of spectral lines for elements where the LS coupling approximation was applicable. Thanks to systematic efforts by R. Kurucz (see <a href="http://kurucz.harvard.edu">http://kurucz.harvard.edu</a>) line lists with transition probabilities for millions of lines of heavy elements have been calculated. Also, the admirable and systematic work by experi-

mental physicists (e.g. Blackwell et al. 1989, Nave et al. 1994, Hartman et al. 2003, Lawler et al. 2007) has led to the identification and measurements of many more metal transitions, e.g. from Fe I and Fe II, than existed before. The results of these efforts have been made easily accessible in data bases, such as the VALD (see Piskunov et al. 1995, Stempels, Piskunov & Barklem 2001, see also http://www.astro.uu.se/~vald). Additional significant progress has been made in the calculation of accurate damping constants for strong atomic lines (Anstee & O'Mara 1995, Barklem, Piskunov & O'Mara 2000a) as well as for hydrogen self broadening (Barklem, Piskunov & O'Mara 2000b).

Also for molecular absorption, impressive progress has been made, starting from practically nothing 30 years ago (see Jørgensen 1994b and Jørgensen 2005 for reviews). Very extensive line lists have thus been calculated for most diatomic and polyatomic molecules that contribute opacity in stellar atmospheres. The lists are based on laboratory measurements of wavelengths and gf values with simple theoretical extensions, or are the result of more extensive quantum-mechanical ab-initio

calculations. Even if the absorption cross-sections are not always of satisfactory quality as yet, the existence of these lists makes it possible to calculate models for, e.g., M and C stars that are realistic enough to compare reasonably well with observations.

Simultaneously with these improvements of basic physics, steps to improve the physical consistency of the models have also been taken. The traditional assumptions of plane-parallel stratification in homogenous layers, of stationary hydrostatic equlibrium, mixing-length convection and LTE have stepwise been possible to relax. Grids of spherically symmetric models for giants and supergiants were made by Plez, Brett & Nordlund (1992) and Jørgensen, Johnson & Nordlund (1992). Dynamic pulsating model atmosheres for cool giants have been developed by Wood (1979) and Bowen (1988), and these were improved with time-dependent dust formation by Fleischer et al. (1992) and Höfner & Dorfi (1997), and further developed to include frequency-dependent radiative transfer by Höfner (1999) and Höfner et al. (2003). Nordlund & Stein and collaborators (Nordlund 1982, Nordlund & Dravins 1990, Stein & Nordlund 1998, Asplund et al. 1999, 2000a) developed 3D simulations with proper hydrodynamics and radiation fields taken into acount for solar-type stars, and Freytag (2001) developed full "star-in-a-box" models for supergiants. These simulations show a striking agreement with observations of solar granulation and spectral line profiles for solar type stars, and clearly demonstrate the qualitative difference between traditional 1D models and reality: while the temperature structure in the upper layers of the 1D models is determined by radiative cooling and heating, the radiative heating in real late-type stars is balanced to a significant extent also by expansion cooling of uprising gas.

A consistent treatment of radiative transfer in models for late-type stars without making the assumption of LTE is complicated, due to the great number of atomic and molecular species affecting the radiative field, the wealth of levels and transitions in these species, and the lack of basic data for these transitions – not the least the lack of cross sections for atomic and molecular collisions with electrons and hydrogen atoms. From the first attempt to construct a reasonably realistic NLTE model for a late-type star (the Sun) made by Anderson (1989), the development of algorithms and computers has now made it possible to calculate grids of such models (Hauschildt et al. 2002). The lack of accurate collision cross sections, however, is still a major problem in these efforts.

In the present paper we present an extensive grid of model atmospheres for late-type stars. The models are still classical, in the sense that they are one-dimensional, i.e. spherically symmetric or plane-parallel, with LTE assumed and convection taken into account by using the standard mixing-length theory. In spite of the inadequacy of these underlying physical assumptions, which must be abandoned in accurate work as soon as possible, we still think the present grid will be useful for years to come, for various applications extending from studies of individual stars to galactic evolution and populations in external galaxies.

The grid extends from models for A-type stars to M, S and C star models, from dwarfs to supergiants in luminosity, and from  $10^{-5}$  times solar to 10 times solar in metallicity, and with various choices of parameters like radius and mass, abundances of C, N and O, and of " $\alpha$  elements" (Ne, Mg, Si, S, Ar, Ca, Ti) relative to Fe, as well as of microturbulence parameter. We also provide model Spectral Energy Distributions (SEDs), sampled in about  $10^5$  frequency points. As regards methods and underlying data used, the model grid is homogeneous.

The grid is presented in a number of papers. In this initial paper we first (Sec. 2) sketch the development of our computer

code MARCS, from which models produced at different earlier stages are in wide use, give a general outline of the methods now used (Sec. 3 and 4), and underlying data (Sec. 5), as well as properties of the grid (Sec. 6). Models are also compared with models from other contemporary grids (Sec. 7). In subsequent papers we shall discuss models for A-G stars (Edvardsson et al., Paper II), K and R stars (Eriksson et al., Paper III), M stars (Plez et al., Paper IV), S stars (Plez et al., Paper V), C stars (Jørgensen et al., Paper VI) and models for very metal-poor stars (Paper VII). In these subsequent papers, the most important new opacity data are detailed, the model structures are described and analysed, and the model SED:s, fluxes and colours are compared to some observational data in order to explore and illustrate the applicability of the models.

The total number of models is about 10<sup>4</sup>. The details of these models, including their calculated fluxes at different wavelengths, are available via http://marcs.astro.uu.se.

## 2. The development of MARCS

Since the early 1970ies, we have developed and used the code MARCS for constructing late-type model atmospheres. Spectral-line blanketing was first considered using Opacity Distribution Functions (Gustafsson et al. 1975) and this technique and its underlying so-called ODF approximation was proven to be fully adequate for F, G and K stars. Thus, models could be calculated with just a few hundred frequency points and extensive grids for G and K giants (Bell et al. 1976) as well as R stars (Olander 1981) were issued on the basis of the ODF approximation. The ODFs were constructed with a program called SSG, originally devised for synthetic colour calculations by R.A. Bell (see, e.g. Bell 1971). The line list used by this program was based on laboratory wavelengths and oscillator strenghs, as well as "astrophysical oscillator strenghts" derived from the solar spectrum. The total list contained about 50,000 lines. The SSG program was next used to calculate model spectra and colours, which were systematically compared with observations (e.g. Gustafsson & Bell 1979; Bell & Gustafsson 1989). From these comparisons a generally good agreement was found, which made us confident in applying the models in a number of studies, e.g. of abundances in globular cluster stars. However, there was a mismatch in certain wavelength regions, in particular in the ultraviolet and violet, where the models were obviously too bright. We tentatively ascribed this discrepancy to the absence in the line lists and the models of many weak lines, which together affect the stellar spectra.

There were also more fundamental problems with the ODF method. One was that the ODF:s had to be recalculated when the chemical composition was changed, which made it inflexible for stars with special and peculiar abundances, such as carbon stars. To circumvent this, a special method to add ODF:s for individual molecules was invented (Saxner & Gustafsson, 1984). However, for N-type carbon star models we also found that the effects of molecules in the upper atmospheric layers, contributing strong opacity at wavelengths different from the atomic absorption at greater dephts, made the ODF approximation unsatisfactory (cf. Ekberg et al. 1986). To provide satisfactory analysis for a study of the chemical composition of carbon stars (Lambert et al. 1986), we consequently changed the scheme to Opacity Sampling, in which the adequate absorption at each monochromatic wavelength point is treated in full detail (yet, assuming LTE in our case), following Peytremann (1974) and Sneden, Johnson & Krupp (1976). In order to obtain an accurate representation of the total radiation contribution to the

heat balance and the radiative pressure force this required an increase of the number of frequency points by one to two orders of magnitude. A number of model grids were calculated with this new program, for carbon stars (cf. Lambert et al. 1986; Jørgensen, Johnsson & Nordlund 1992), for M giants (Plez, Brett & Nordlund 1992) and M dwarfs (Brett & Plez, 1993) and applied to studies of individual stars. We gradually extended the underlying line list by calculating and adopting more complete data for diatomic molecules, such as TiO (Jørgensen 1994a, Plez, Brett & Nordlund, 1992, Plez 1998), as well as polyatomic molecules like HCN (Jørgensen et al, 1985, Jørgensen 1990), C<sub>2</sub>H<sub>2</sub> (Jørgensen thesis, cited in Jørgensen 1989) and H<sub>2</sub>O (Plez, Brett & Nordlund 1992, Alvarez and & Plez 1998, Jørgensen et al. 2001, Decin et al. 2000).

In order to provide models for a major effort to study the build-up of chemical elements in the Galactic Disk using solartype stars, we further extended the line list, by now adding the very great number of metal lines from Kurucz's calculations (Plez, Brett & Nordlund 1992; Edvardsson et al. 1993). This version of the code was also used in calculating a grid for hydrogenpoor carbon stars (R Cr B stars, Asplund et al. 1997), and applied in an abundance study of such stars (Asplund et al. 2000b). Somewhat different versions of the code were used to compare models to ISO spectra and other IR spectra, partly for calibration purposes of the satellite instrumentation, of F, G and K stars (van der Bliek, Gustafsson & Eriksson, 1996, and subsequent papers, Decin et al. 2003 and references therein) as well as of M stars (Alvarez, Lanon, Plez et al. 2000. Fluks et al. 1994) and carbon stars (Loidl, Lancon & Jørgensen 2001). We also made comparisons of model colours with observed ones (Bessell, Castelli & Plez, 1998), and applied colours and SEDs for establishing temperature scales (see, e.g., Massey et al. 2007 and references

The development of MARCS has always been driven by our own (and collaborators') needs for models for studies of particular stars, mainly for abundance determination. It has been a flexible "laboratory set-up", rather than a "common-user instrument", and we have been reluctant to calculate models for stars of types that we cannot explore ourselves to understand the limitations of our models. Until now, less than 10<sup>3</sup> models have been published, which is a small number compared to the number actually calculated and used. Also, several different versions of MARCS have appeared, which has led to some confusion because of inhomogeneities among the grids produced. It was therefore judged important to develop a common updated version, and to use it to construct and publish an extensive homogeneous grid. The results of this effort is presented in this and subsequent papers.

#### 3. Physical assumptions and equations

The basic assumptions have been listed above. We shall not discuss their adequacy further here (for a review, see e.g. Gustafsson & Jørgensen 1994), but comment on them one at a time, and in connection with that list some of the corresponding fundamental equations, for further reference below.

#### 3.1. Stratification and hydrostatic equilibrium

Assuming spherical symmetry we may write the equation of hydrostatic equilbrium:

$$\nabla P_{\text{tot}} = -\rho \frac{GM_{\text{r}}}{r^2},\tag{1}$$

 $P_{\text{tot}}$  being the total pressure,  $\rho$  the matter density, G Newton's constant of gravity and  $M_{\text{r}}$  the stellar mass inside radius r. We neglect the atmospheric mass in comparison with the total stellar mass M and thus assume  $M_{\text{r}} = M$ . For  $\nabla P_{\text{tot}}$  we have

$$\nabla P_{\text{tot}} = \nabla P_{g} + \nabla P_{\text{turb}} + \nabla P_{\text{rad}}, \qquad (2)$$

where  $P_{\rm g}$  and  $P_{\rm turb}$  are the gas pressure and turbulent pressure, respectively, and the last term is the force excerted by the radiation.

$$\nabla P_{\text{rad}} = -\frac{1}{c} \int_0^\infty (\kappa_{\lambda} + \sigma_{\lambda}) F_{\lambda} d\lambda, \qquad (3)$$

where  $F_{\lambda}$  is the radiative energy flux per wavelength unit,  $\kappa_{\lambda}$  and  $\sigma_{\lambda}$  are the monochromatic absorption and scattering coefficients, respectively, and c is the speed of light. The boundary condition for Eq. (2) is

$$P_{\rm g}(r=\infty) = P_{\rm turb}(r=\infty) = 0.0. \tag{4}$$

The formulation of the boundary condition involving the radiative force is, however, not quite trivial in practice; see Plez, Brett & Nordlund (1992). For the turbulent pressure  $P_{\text{turb}}$  one may write

$$P_{\text{turb}} = \beta \rho v_{\text{t}}^2 \tag{5}$$

where  $\rho$  is the gas density and  $v_t$  a characteristic velocity. This pressure is measuring the force produced by the kinetic movements of the gas, whether due to convective or other turbulent gas motions. The parameter  $\beta$  is  $\sim 1$ , with an exact value depending on whether the motions occur more or less isotropically. With our general lack of knowledge about  $v_t$  it is reasonble to adopt an approximate recipe for  $P_{turb}$ . We begin with assuming a depth independent value of  $v_t$ . The dominant depth variation in  $P_g = \mathcal{R}\rho T/\mu_{mol}$ ,  $\mathcal{R}$  being the gas constant, occurs in  $\rho$ . Neglecting the variation in the temperature T and in the mean molecular weight  $\mu_{mol}$  as well as in  $v_t$  and in  $M_t = M$ , one finds

$$\nabla P_{\rm g} \approx -\rho \, \frac{GM}{r^2} \left( \frac{1 - \frac{1}{4\pi c} \, \frac{\chi_{\rm F}}{G}(L/M)}{1 + \beta \frac{\mu_{\rm mol}}{RT} \, v_{\rm t}^2} \right),\tag{6}$$

where  $\chi_F$  is the flux-weighted mean of the extinction coefficient per gram and L the luminosity of the star. Here, we have also assumed that the dominating fraction of the stellar flux is carried by radiation, i.e. the approximation is most accurate for the upper radiative zones of the models. One may regard the right-hand side of Eq. (6) as the local effective surface gravity  $g_{\rm eff}$  times  $\rho$ . We thus find that we can mimic the effects of the radiative force and/or the turbulent pressure on the models by using models with those effects neglected with an adjusted gravity:

$$g_{\text{eff}} = g \left( \frac{1 - \frac{1}{4\pi c} \frac{\chi_{\text{F}}}{G} (L/M)}{1 + \beta \frac{\mu_{\text{mol}}}{RT} v_{\text{t}}^2} \right), \tag{7}$$

where

$$g = g(r) = \frac{GM}{r^2}. (8)$$

Defining the effective Eddington luminosity,  $L_{\rm Edd}^{\rm eff} \equiv 4\pi GMc/\chi_{\rm F}$  we obtain

(1) 
$$g_{\text{eff}} = g \left( \frac{1 - (L/L_{\text{Edd}}^{\text{eff}})}{1 + \beta \gamma (v_t/c_s)^2} \right),$$
 (9)

where  $c_s = \sqrt{\gamma P_g/\rho}$  is the sound spead for an ideal gas and  $\gamma$  is the adiabatic index. Basically, we have neglected the depth variation in  $\gamma (v_t/c_s)^2$  in deriving this expression.

Thus, a model with a turbulent velocity  $v_t$  may be represented by a model with a reduced gravity  $g_{\text{eff}}$  and  $v_{\text{t}} = 0$ , according to this recipe. Similarly, effects from the radiation force may be mimicked by changes in g or M. However, the Planck mean may vary strongly with depth and with stellar fundamental parameters, and one should therefore be careful in the use of Eq. (7) for exploring the radiative effects on the atmospheric structure and, e.g., the possible effects on mass-loss rates. For a more detailed study for red supergiants and AGB stars, see Gustafsson & Plez (1992) and Jørgensen & Johnson (1992), respectively. We have tested the use of Eq. (7) to simulate the effects of turbulent pressure for a number of models at various points in the grid and find that it leads to very small errors in the temperature structure (less than 5 K in the temperature through all the model for a depth independent  $v_t$  in the interval 0 to 10 km/s. We therefore have chosen to set  $v_t = 0$  for all grid models, and advice those who would have liked a different choice to use models with a different mass or g, according to the recipe given in Eq. (7). It should be noted that the mixing-length treatment of convection adopted here (see Sec. 2.2 below) leads to a rapidly varying formal convective velocity, in particular close to the boundary where Schwarzschild stability sets in. If this variation were included the term  $\nabla P_{\text{turb}} = \nabla(\beta \rho v_{\text{t}}^2)$  would get a major contribution from  $\nabla v_t$ . However, more realistic simulations of convection show that  $v_t$  varies much less with depth than  $\rho$ , in accordance with what was assumed in the derivation of Eq. (7) above.

The acceleration of gravity, g, for our spherical models is a depth-varying quantity, according to Eq. (8). Also the stellar energy flux F(r) and  $T_{\text{eff}}$  are varying with depth. Thus, we have

$$F(r) = F_{\text{rad}}(r) + F_{\text{conv}}(r) = \sigma_{\text{SB}} T_{\text{eff}}(r)^4, \qquad (10)$$

where  $F_{\rm rad}$  and  $F_{\rm conv}$  are the radiative and convective flux, respectively, and  $\sigma_{\rm SB}$  is Stefan-Boltzmann's constant. What remains constant (in stationary models) is the mass M (since only a tiny fraction of the total stellar mass resides in the atmosphere), and the luminosity,  $L = 4\pi r^2 F(r)$ . We label the models by the values of  $T_{\rm eff}$  and g at a radius  $r = R_1$  where  $\tau_{\rm Ross}$ , the optical-depth scale based on the Rosseland mean opacity, is equal to 1.0, i.e.:

$$T_{\text{eff}} \equiv T_{\text{eff}}(R_1) = \left(\frac{L}{4\pi R_1^2}\right)^{\frac{1}{4}},$$
 (11)

$$g \equiv \frac{MG}{R_1^2} \,. \tag{12}$$

## 3.2. Mixing length convection

We have used the version of the Mixing-length "theory" as presented by Henyey et al. (1965). The convective energy flux is given by

$$F_{\text{conv}} = \frac{1}{2} \rho C_{\text{p}} T v_{\text{conv}} \frac{\ell}{H_{\text{p}}} \delta \Delta.$$
 (13)

Here

$$\delta \Delta = \frac{\Gamma}{(1+\Gamma)} (\nabla_{\rm T} - \nabla_{\rm ad}), \qquad (14)$$

where

$$\Gamma = v_{\text{conv}} \rho C_{\text{p}} \frac{1 + y(\rho \chi_{\text{Ross}} \ell)^2}{8 \sigma_{\text{SB}} T^3 \rho \chi_{\text{Ross}} \ell},$$
(15)

$$\nabla_{\rm T} = \frac{d \ln T}{d \ln P},\tag{16}$$

and  $H_p$  is the local pressure scale height,

$$H_{\rm p} = \frac{P}{g\rho}.\tag{17}$$

 $\nabla_{\rm ad}$  is the adiabatic temperature gradient,  $C_{\rm p}$  the specific heat at constant pressure,  $\chi_{\rm Ross}$  the Rosseland mean opacity and  $\ell$  the mixing length. Eq. (13) is valid if  $(\nabla_{\rm T} - \nabla_{\rm ad}) \ge 0$ . Also

$$v_{\rm conv} = \frac{\ell}{H_{\rm p}} \sqrt{\frac{GM}{r^2} H_{\rm p} \, Q \, \delta \Delta / \nu} \tag{18}$$

with

$$Q = -\frac{T}{\rho} \left( \frac{\partial \rho}{\partial T} \right)_{p} \,, \tag{19}$$

the derivative taken at constant thermodynamic pressure. In addition to the mixing length parameter,  $\alpha = \ell/H_p$ , there are two more explicit parameters in this formulation: y which is related to the adopted temperature distribution within the convective elements and  $\nu$  which deals with the energy dissipation by the turbulent viscosity. As a standard, we have chosen the parameters according to the suggestions by Henyey et al.:  $\alpha = 1.5$ , y = 0.076 and v = 8. It should be noted that different choices than these are made, sometimes without being pointed out, in other current work. The effects of varying the convective parameters were explored for models of red giants by Gustafsson et al. (1975). However, these variations do not at all map the real possible range of errors due to our (inadequate) treatment of the convective energy transport and even less can give relevant information on the effects of the thermal inhomogeneities generated by convection.

The convective flux is added to the radiation energy flux, and we may then write the energy equilibrium equation

$$F_{\rm conv} + F_{\rm rad} = \frac{L}{4\pi r^2} \,. \tag{20}$$

#### 3.3. LTE and radiative transfer

All number densities of all atoms and molecules are assumed to follow from the corresponding laws for thermal equilibrium, the Saha equation and the corresponding equation of chemical equilibrium for the molecules (Gibson & Heitler 1928, see also Russell 1934). Similarly, all excitation equilibria and all partition functions are calculated adopting the Boltzmann distribution, with higher terms in the atomic partition functions cut according to the method of Irwin (1981).

The radiation source function is assumed to be

(13) 
$$S_{\lambda} = \frac{\kappa_{\lambda}}{\kappa_{\lambda} + \sigma_{\lambda}} B_{\lambda}(T) + \frac{\sigma_{\lambda}}{\kappa_{\lambda} + \sigma_{\lambda}} J_{\lambda}, \tag{21}$$

where  $B_{\lambda}(T)$  is the Planck function. All line absorption is assumed to occur in true absorption, i.e.

(14) 
$$\kappa_{\lambda} = \kappa_{\lambda}^{\text{cont}} + \kappa_{\lambda}^{\text{line}}$$
 (22)

where  $\kappa_{\lambda}^{\rm cont}$  and  $\kappa_{\lambda}^{\rm line}$  are the sums of all continuous absorption contributions and line absorption contributions, respectively. The mean intensity  $J_{\lambda}$  is calculated from

$$J_{\lambda} = \int_0^1 j_{\lambda}(\mu) d\mu \,. \tag{23}$$

Here, following Feautrier (1964), we define  $j_{\lambda}(\mu)$  as

$$j_{\lambda}(\mu) = \frac{1}{2} \left( I_{\lambda}(\mu) + I_{\lambda}(-\mu) \right) \tag{24}$$

where  $I_{\lambda}(\mu)$  is the specific intensity in the direction specified by  $\mu = \cos\theta$ ,  $\theta$  being the angle relative to a stellar radius. The equation of radiative transfer for  $j_{\lambda}$  is

$$\frac{d^2 j_{\lambda}}{d\tau_{\lambda}^2} = j_{\lambda} - S_{\lambda} \tag{25}$$

where  $\tau_{\lambda}$  is measured along the ray. For a discussion of adequate boundary conditions for  $j_{\lambda}$  and their implementation, see Nordlund (1984). Knowing  $j_{\lambda}(\mu)$ , the wavelength-integrated flux in the radial direction can be calculated from a derivative of the second Eddington moment  $K_{\lambda}$ , defined by

$$K_{\lambda} = \int_0^1 \mu^2 j_{\lambda} d\mu \,. \tag{26}$$

Thus, we have for the monochromatic flux

$$F_{\lambda} = 4\pi \left( \frac{\partial K_{\lambda}}{\partial \tau_{\lambda}} - \frac{1}{r} \frac{3K_{\lambda} - J_{\lambda}}{\kappa_{\lambda} + \sigma_{\lambda}} \right), \tag{27}$$

cf. Mihalas (1978, his Eq. 2.80) and then

$$F_{\rm rad} = \int F_{\lambda} d\lambda, \qquad (28)$$

which is used in the energy conservation equation, Eq. (20). Alternatively, the energy balance may be expressed as

$$\frac{d}{dr}\left(\left(F_{\text{rad}} + F_{\text{conv}}\right) \cdot r^2\right) = 0. \tag{29}$$

For  $F_{\rm conv}=0$  which is often the case in the upper layers of the models, this is equivalent to

$$\int \kappa_{\lambda} \left[ J_{\lambda}(\tau_{\text{Ross}}) - B_{\lambda}(T(\tau_{\text{Ross}})) \right] d\lambda =$$

$$= q_{\text{rad}} - q_{\text{thermal}} = 0,$$
(30)

with

$$q_{\rm rad} \equiv \int \kappa_{\lambda} J_{\lambda}(\tau_{\rm Ross}) \, d\lambda$$

$$q_{\rm thermal} \equiv \int \kappa_{\lambda} B_{\lambda}(T(\tau_{\rm Ross})) \, d\lambda \,. \tag{31}$$

# 4. Physical data

The volume of physical data needed in the calculation of model atmospheres of late-type stars is considerable. Data are needed for the calculation of the ionization equilibrium of atoms and dissociation equilibrium of molecules. These data include chemical composition data, ionization energies and dissociation energies, as well as partition functions. Moreover, continuous absorption and scattering coefficients are needed. The by far most extensive, and most demanding need, however, is the various data that are

necessary for the proper calculation of the line absorption. Here, we shall briefly present the data used to calculate ionization-dissociation equlibria as well as continuous absorption and scattering. Some more details concerning the line-absorption data are given in Papers II - VII.

The basic chemical composition adopted is that of the Sun, as listed by Grevesse, Asplund & Sauval (2007). There is still some dispute on the C, N and O abundances adopted there (C=8.39, N=7.78 and O=8.66) and therefore we have alternatively taken the data of Grevesse & Sauval (1998) with CNO abundances higher by about 0.2 dex for the solar-metallicity sub-grid and explore the differences in Sec. 6.3. In varying the overall metallicity of the models ([Me/H]) we have as a standard scaled the abundances of all elements heavier than He in unison. The abundances of so-called  $\alpha$  elements O, Ne, Mg, Si, S, Ar, Ca, and Ti tend to vary somewhat differently for galactic stars (see, e.g. Edvardsson et al. 1993, Reddy et al. 2003, Ryde & Lambert 2004, Nissen et al. 2004, Cayrel et al. 2004); a variation which, however, is not necessarily the same for all stellar populations in the Galaxy (see, e.g. Fuhrmann 1998 and Bensby et al. 2005) or in other galaxies (see Venn et al. 2004). Therefore, we offer models with two different sets of abundances: one with a uniform scaling for each element with [Me/H] and one where the  $\alpha$  elements have been scaled as follows:  $[\alpha/\text{Fe}] = 0.4 \text{ for } -5.0 \le [\text{Me/H}] \le -1.0, [\alpha/\text{Fe}] = -0.4 \times [\text{Me/H}] \text{ for }$  $-1.0 \le [Me/H] \le 0.0$ , and  $[\alpha/Fe] = 0.0$  for  $[Me/H] \ge 0.0$ . For the giant stars, the results of the First Dredge Up of CNO processed material is known to lead to a reduced carbon abundance and a correspondingly increased N abundance (cf. e.g., Boothroyd & Sackmann 1999). We therefore also offer models with revised C and N such that C/N=1.5 and 0.5 by number, respectively, as alternatives to the solar value of C/N=4.07, though keeping C+N constant (cf Sec 6.3). For the corresponding giant stars, the changed C/N ratio is usually accompanied by a decrease in the isotopic ratio  ${}^{12}C/{}^{13}C$ . For the "CN processed" models we have therefore changed this ratio from solar to 20 and 4, respectively. Finally, for the M stars and carbon stars we also present models with increased C abundances, such that C/O ranges from 0.54 to 0.99 (M and S star models) to above 1.0 (models for C stars).

The atomic partition functions and ionisation energies used are from Irwin (1981) with some modifications. The molecular equilibria were calculated using the partition functions and dissociation energies of Sauval (private communication), which is an update of Sauval & Tatum (1984) for diatomic molecules, and Irwin (1988, and private communication) for polyatomic molecules. Altogether 519 molecules were included in the equilibrium calculations. A comparison with equlibria calculated by Piskunov (private communication) shows a very good agreement.

The continuous absorption from H<sub>I</sub>, H<sup>-</sup>, H<sup>-</sup><sub>2</sub>, H<sup>+</sup><sub>2</sub>, He<sub>I</sub>, He<sup>-</sup>,C<sub>I</sub>, C<sub>II</sub>, C<sup>-</sup>, N<sub>I</sub>, N<sub>II</sub>, N<sup>-</sup>, O<sub>I</sub>, O<sub>II</sub>, O<sup>-</sup>, Mg<sub>I</sub>, Mg<sub>II</sub>, Al<sub>I</sub>, Al<sub>I</sub>, Si<sub>I</sub>, Si<sub>I</sub>, Ca<sub>I</sub>, Ca<sub>I</sub>, Fe<sub>I</sub>, and Fe<sub>II</sub>, as well as CH, OH, CO<sup>-</sup> and H<sub>2</sub>O<sup>-</sup> were calculated from sources according to Table 1. Corrections were made to the data for C<sub>I</sub>, Mg<sub>I</sub>, Al<sub>I</sub>, Si<sub>I</sub> in order to account for the fact that TOP base photon cross sections are published with theoretical energy levels derived from the model atom, which depart significantly from the much better known data from laboratory work. For these four species corrections were considered necessary since they significantly affect the UV fluxes of the models. We identified the individual TOP base energy levels for these species and shifted them to the empirical energies given by NIST (http://physics.nist.gov/PhysRefData/ASD/index.html). The TOP base gives the photon absorption cross section (in Mbarn) as

**Table 1.** Sources of data for continuous opacities. "b-f" and "f-f" denotes bound-free and free-free processes, respectively, and "CIA" is Collision Induced Absorption (or quasi-molecular absorption). "TOPbase" refers to the TOP data base of data from the Opacity Project (Seaton et al. 1994), available via <a href="https://vizier.u-strasbg.fr/topbase/home.html">http://vizier.u-strasbg.fr/topbase/home.html</a>

Ion and process	Reference	
H <sub>I</sub> b-f and f-f	Karzas & Latter (1961) <sup>‡</sup>	
H- b-f	Wishart (1979)	
H- f-f	Bell & Berrington (1987)	
He i b-f	TOPbase	
He i f-f	Peach (1970)	
He <sup>-</sup> f-f	John (1994)	
Cı, Cıı b-f	TOPbase <sup>†</sup>	
Cı, Cıı f-f	Peach (1970)	
C- f-f	Bell et al. (1988)	
Nı, Nıı b-f	TOPbase	
N- f-f	Ramsbottom et al. (1992)	
Oı, Oıı b-f	TOPbase	
O <sup>-</sup> f-f	John (1975a, 1975b)	
Mg I, Mg II b-f	TOPbase <sup>†</sup>	
Mg I f-f	Peach (1970)	
Alı, Alıı b-f	TOPbase <sup>†</sup>	
Si ı, Si ıı b-f	TOPbase <sup>†</sup>	
Si <sub>1</sub> f-f	Peach (1970)	
Caı, Caıı b-f	TOPbase	
Fe i b-f	TOPbase, Bautista (1997)	
Fe п b-f	TOPbase	
other metals f-f	Peach (1970), hydr. approx.	
H <sub>2</sub> <sup>+</sup> f-f	Mihalas (1964)	
$H_2^{\frac{2}{1}}$ f-f	John (1975a, b), John & Williams (1975)	
CH b-f	Kurucz et al. (1987)	
OH b-f	Kurucz et al. (1987)	
CO <sup>-</sup> f-f	John (1975a, 1975b)	
$H_2O^-$ f-f	John (1975a, 1975b)	
Hı+Hı CIA	Doyle (1968)	
Hı+Heı CIA	Gustafsson & Frommhold (2001)	
H <sub>2</sub> +H I CIA	Gustafsson & Frommhold (2003)	
H <sub>2</sub> +H <sub>2</sub> CIA	Borysow et al. (2001)	
H <sub>2</sub> +He I CIA	Jørgensen et al. (2000)	
H <sub>I</sub> scattering	Dalgarno, quoted by Gingerich (1964)	
H <sub>2</sub> scattering	Dalgarno & Williams (1962)	
e <sup>-</sup> scattering	Mihalas (1978)	
He i scattering	Bues & Wehrse (1976)	
*modified according to the occupation probability formalism, see tay		

<sup>†</sup>modified according to the occupation probability formalism, see text

a function of the energy of the ejected electron. This electron energy was converted to the energy of the absorbed photon by adding the ionization energy from the specific electronic level. The cross-section data for all levels was summed up assuming LTE for a number of temperatures and plotted at the full original wavelength resolution at different temperatures. Also collision-induced absorption of H<sub>1</sub>+H<sub>1</sub>, H<sub>1</sub>+He<sub>1</sub>, H<sub>2</sub>+He<sub>1</sub> was included. H<sub>2</sub>-He and H<sub>2</sub>-H<sub>2</sub> CIA data from Borysow et al., referred to in Table 1, are available from http://www.stella.nbi.dk/pub/scan. More extensive data sets for the CIA are publicly accessible from http://www.astro.ku.dk/~aborysow.

Continuous electron scattering as well as Rayleigh scattering from  $H_{\text{I}}$ ,  $H_{\text{2}}$  and  $H_{\text{E}}$  was included and was assumed to be isotropic.

Line absorption data for atoms and first ions were originally adopted from VALD-1 (Piskunov et al. 1995) but recently data for the ten most important species were modified according to VALD-2 (Stempels, Piskunov & Barklem, 2001). The updated species are Si i, Ca i, Ca ii, Ti ii, Cr i, Cr ii, Mn ii, Fe i, Fe II, and Ni II. The VALD-data adopted include the very numerous line data calculated by Kurucz & Bell (1995, see also http://kurucz.harvard.edu), including lines between predicted energy levels that are not yet experimentally verified. The gf values were, however, modified for 948 lines from VALD-1 and 1276 lines from VALD-2 in the wavelength range 3783 to 8968 Å, based on fits of synthetic solar intensity spectra. These model spectra were calculated with the Holweger & Müller (1974) model and compared with the observed solar disk-center FTS spectrum of Brault & Neckel (1987), see Paper II. The model spectrum was required to match the observed equivalent widths to within 0.1 dex in  $\log gf$ . The resulting gf values are listed at http://marcs.astro.uu.se. Line absorption data for diatomic and polyatomic molecules were considered for species according to Table 2. Most line lists of Jørgensen and collaborators are described by Jørgensen (1997) and may be obtained by anonymous ftp via www.stella.nbi.dk/pub/scan. Line lists for HCN, C<sub>2</sub>H<sub>2</sub> and C<sub>3</sub> will be added later to this data base in connection with the paper on carbon-enriched stars in the present series. The line lists of Plez referred to in Table 2 as "unpublished" are available via http://marcs.astro.uu.se. Some of these lists were especially tailored for the present grid; in such cases a more detailed description of the line list for the particular species is to be found in one of the subsequent papers in the present series. For the OH A-X system we did not use the data of Gillis et al. (2001) since the Kurucz (1995b) list includes higher vibration and rotation states. For MgH we did not include the new data of Skory et al. (2003) for <sup>24</sup>MgH since we were anxious to be consistent with the MgH lines for other Mg isotopes.

Hydrogen line and bound-free opacity, and their merging, were modelled using a code by Barklem (http://www.astro.uu.se/~barklem/hlinop.html), which is based on the occupation probability formalism of Däppen, Anderson & Mihalas (1987). The details of the description of this line opacity are given by Barklem & Piskunov (2003). For the atomic lines of metals, the damping wings were also calculated, using the best available data (Anstee & O'Mara, 1995, Barklem, Piskunov & O'Mara, 2000a and references therein, 2000b, Barklem & Aspelund-Johansson 2005, and Barklem, private communication). For weak lines where such data were missing we just adopted the Unsöld recipe (cf. Unsöld, 1955, his Eq. 76.43), with a constant enhancement factor set to 2.0 for Na<sub>1</sub>, 1.3 for Si i, 1.8 for Ca i, 1.4 for Fe i and 2.5 for all other species. (The damping constants given in the line list of Kurucz, which were obtained by perturbation theory, might have been preferred to the use of Unsöld values.) For the molecules, damping was not taken into account – the lack of proper damping parameters makes any such attempt questionable. Also, the huge density of molecular lines often makes the damping wings of a line less important since the intensity of the Doppler cores of neighbouring lines dominate.

In general, it should be noted that although the present atomic and molecular data are very extensive, and much more complete and accurate than, e.g., a decade ago, they are far from perfect. Considerable improvements are still needed, both by adding many more faint lines and determining line strengths more accurately. The risk that present models are still underblanketed must be appreciated.

<sup>†</sup> http://cdsweb.u-strasbg.fr/OP.htx.

The calculated wavelengths of UV and blue C I, Mg I, Al I and Si I absorption edges have been shifted to their laboratory values, see text

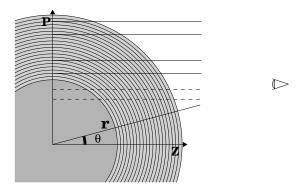
**Table 2.** Sources of data for molecular line opacities.

Species	Reference
HCN vib-rot	Harris et al. (2002),
	Jørgensen et al.(2001)
H <sub>2</sub> O vib-rot	Barber et al. (2006)
C <sub>2</sub> Phillips, Swan,	
Ballik-Ramsay	Querci et al. (1971, priv. comm.)
$C_3$	Jørgensen et al. (1989)
$C_2H_2$	Jørgensen (1989)
CH vib-rot	Jørgensen et al. (1996)
CH A-X, B-X, C-X	Plez et al. (2008)
CN A-X, B-X	Plez (unpublished)
CO vib-rot	Goorvitch (1994)
CO A-X	Kurucz (1995)
CaH A-X, B-X	Plez (unpublished)
FeH $F^4\Delta$ - $X^4\Delta$	Plez (unpublished)
MgH A-X, B/-X	Kurucz (1995a)
NH A-X	Kurucz (1995a)
OH vib-rot	Goldman et al. (1998)
OH A-X	Kurucz (1995a)
SiH A-X	Kurucz (1995a)
SiO vib-rot	Langhoff & Bauschlicher (1993)
TiO $\alpha, \beta, \gamma, \gamma \prime, \delta, \epsilon, \phi$ ,	_
$E^3\Pi$ - $B^3\Pi$ , $a^1\Delta$ - $f^1\Delta$	Plez (1998)
VO A-X, B-X, C-X	Plez (unpublished)
ZrO B <sup>1</sup> Π-A <sup>1</sup> Δ, B <sup>1</sup> Π-X <sup>1</sup> Σ,	· ·
$C^1\Sigma - X^1\Sigma E^1\Phi - A^1\Delta$ ,	
$b^3\Pi - a^3\Delta$ , $d^3\Phi - a^3\Delta$ ,	
$e^3\Pi$ - $a^3\Delta$ , $f^3\Delta$ - $a^3\Delta$ ,	Plez et al. (2003)

# 5. Numerical methods

# 5.1. The general method

Equations (1, 2, 3, 13, 20 or 30, 27 and 28) with relevant boundary conditions form a closed system of equations for determining the dependent variables  $F_{conv}$ , T,  $P_g$ ,  $P_{rad}$ ,  $P_{turb}$ ,  $P_e$ , as well as quantities like  $v_{\text{conv}}$ ,  $F_{\text{rad}}$ , or alternatively  $q_{\text{rad}}$ . In addition to these quantities, we also need to calculate quantities characteristic (in LTE at least) of the local temperature and pressure:  $\kappa(\lambda)$ ,  $\sigma(\lambda)$ ,  $H_p$ , Q,  $\nabla_{ad}$  and  $\gamma$ . The system of equations is solved on a  $\tau_{\rm Ross}$  scale, with  $\kappa_{\rm Ross}$  calculated by integration over all  $\sim 10^5$ wavelength points. This choice of depth scale leads to temperature structures that are only moderately affected by changes in the fundamental stellar parameters. Basically, a standard multidimensional Newton-Raphson method is applied to solve the highly non-linear system. All equations are first discretized, in the variables  $\tau_{Ross}$  and  $\lambda$ . Next, all the resulting equations are linearized in the dependent variables listed above. The input variables at the calculation of thermodynamic quantities and absorption coefficients are T and  $P_e$ , which is a very appropriate choice as long as H<sup>-</sup> is a dominating opacity source. (For the coolest models, e.g.  $P_H$  or  $P_g$  could have been more advantageous.) As a result of the linearization, a set of linear equations in the variations of the dependent variables is obtained. The coefficients of this system contain derivatives of a great number of quantities relative to the dependent variables. First, a starting model is assumed, in order to enable a first calculation of the coefficients. Next, the system of linear equations is solved numerically, the resulting variations are applied to the dependent variables, new coefficients are calculated and a new solution is obtained for the variations. The elimination scheme is basically that of Rybicki (1971), also applied by Gustafsson & Nissen (1972) and Gustafsson et al. (1975), with an elimination work that scales linearly with the number of wavelength points,  $n_{\lambda}$ .



**Fig. 1.** Sketch of the geometric representation used for solving the spherically symmetric radiative transfer problem. The full radius mesh used for representing the variables as functions of radius (about 7 points per decade in  $\tau_r$ ) is indicated along the radius vector by a set of concentric circles. The distance of rays from the centre of symmetry is measured by the impact parameter p. About 15 rays with impact parameters  $\leq R_c$ , the core radius, are used and are here represented by two dashed lines. The set of rays that do not hit the core, typically about 50 rays but dependent of wavelength, are here represented by five parallel rays. The mesh of points used for solving the equation of radiative transfer are the crossing points between the circles and the horizontal lines in the figure. Distances along the rays are measured by  $z = \mu r$  where  $\mu = \cos \theta$ .

#### 5.2. Radiative transfer

The total radiative flux,  $F_{\rm rad}$ ,  $q_{\rm rad}$  and  $\nabla_{\rm r}P_{\rm rad}$  are non-local functionals of the model structure and can be calculated from a solution of the transfer equation, Eq. (25). For the model structure obtained after each iteration, we need an accurate solution of the equation with the source function  $S_{\lambda}$  given by Eq. (21) and Eq. (23), which then enables us to calculate  $F_{\rm rad}$  and  $q_{\rm rad}$  for that structure. These quantities are used to calculate the corrections (right hand sides) in the next Newton-Raphson iteration. We also need a linearization of  $F_{\rm rad}$  and  $q_{\rm rad}$  in T and  $P_{\rm e}$  to calculate factors, symbolically written as e.g.  $\delta F_{\rm rad}(\tau_i)/\delta T(\tau_k)$ , or  $\delta q_{\rm rad}(\tau_i)/\delta P_{\rm e}(\tau_k)$ , to be used in the coefficient matrix in the forth-coming iteration. Here,  $\tau_i$  and  $\tau_k$  are any two radial optical depths in the stellar atmosphere. I.e., we need to estimate how the radiation contribution to the energy balance in each point in the atmosphere is affected by variations in temperature or pressure anywhere else. Both these tasks are accomplished with the iterative method of Nordlund (1984) which was applied to model atmosphere calculations by Plez, Brett & Nordlund (1992) for M giants and by Jørgensen, Johnson & Nordlund (1992) for carbon stars. Here, we shall only give a brief summary for reference.

The equation of radiative transfer is solved along a set of parallel rays, which cover a suitable range of impact parameters, chosen individually for each wavelength (cf. Fig. 1). In practice, about 15 rays equidistant in  $\mu$  (the cosine of the angle relative to the normal) are chosen for impact parameters  $< R_c$ , where  $R_c$  is the radius for the stellar non-transparent "core". This core is defined by a radial  $\tau_\lambda \sqrt{\frac{\kappa_\lambda}{\kappa_\lambda + \sigma_\lambda}} > 200$ , in order to allow a great enough thermalization depth for scattered light. Rays that never hit this core but only pass through the transparent "atmospheric" region, are all chosen to go through pre-selected  $\tau_{\rm Ross}$  points in

the vertical scale along a line through the stellar centre and perpendicular to the ray. These rays are distributed with about 5 rays per decade in  $\tau_{\lambda}$  along this line.

Scattering is handled by an iterative technique where the radiative transfer equation along a particular single ray is used to correct residual errors. This technique uses a "form factor"  $f_{\lambda}$ ,

$$f_{\lambda}(r) = J_{\lambda}/j_{\lambda}. \tag{32}$$

Here, both  $J_{\lambda}$  and  $j_{\lambda}$  can be taken from the previous iteration, since their ratio is very little dependent on the detailed shape of the source function; in this respect the method is analogous to the variable Eddington technique of Auer & Mihalas (1970) in handling the angular dependence of the radiative field. After a few iterations a correct solution is obtained. When linearizing the energy equilibrium equation (Eq. 20 or 30) we linearize the transfer equation along such a representative ray and apply the form factor, as calculated in the preceding iteration. This relates the changes in the energy balance to changes of the specific intensity along the ray. We adopt this relation as typical for all rays. The final result is then a coupling of the energy equilibrium at each point in the atmosphere to temperatures and electron pressures all over the model.

The representative ray is chosen in the following way: At the radius in the discretization of the  $\tau_{\rm Ross}$  scale where  $\tau_{\lambda}$  measured radially is about  $\sqrt{(\kappa_{\lambda}+\sigma_{\lambda})/\kappa_{\lambda}}$  the set of rays hits the sphere at a set of angles relative to the normal. We take the ray where the cosine for this angle is close to  $1/\sqrt{3}$  – a choice which is natural in view of a result of the Eddington approximation that the outgoing intensity from a gray atmosphere with a linear source function in this direction has a characteristic depth of formation which can be taken as an average depth of formation for all disk rays.

In order to obtain a sufficient numerical accuracy we linearize the radiative flux directly (Eq. 20) only for optical depths  $\tau_{Ross} > 0.01$ , while for smaller depths we linearize the divergence of the flux (i.e. Eq. 30). This avoids the loss of accuracy associated with the nearly constant monochromatic fluxes at most wavelengths in the optically thin layers.

In the discretization in  $\tau_{Ross}$  we use 56 points, distributed between  $\tau_{Ross} = 10^{-5}$  and  $\tau_{Ross} = 100$ .

The integrals over  $\lambda$  are calculated using the trapezian rule. In the  $\lambda$  discretization we use 10,000 points during the first iterations. In the final iterations there are 108,000 points, set to produce a sampling density  $R \equiv \lambda/\delta\lambda = 20,000$ , with  $\lambda_{\min} =$ 910 Å for models warmer than 3500 K and 1300 Å for cooler models, and  $\lambda_{\text{max}} = 20 \,\mu\text{m}$ . We have made a series of numerical experiments to investigate the errors resulting from the sampling of the spectrum with a resolution smaller than what corresponds to the characteristic line widths. This investigation has been made by making a number of sets of models with 30,000 wavelength points which are identical except for the set of wavelength points used. We then find the temperature errors for  $\tau_{Ross} \ge 10^{-4}$ to be less than  $0.003 \times T_{\text{eff}}$  K with the maximum errors in the upper layers of the model (above  $\tau_{Ross} = 0.01$ ). The corresponding errors in the flux integrals and flux derivative integrals (i.e. the integrals in Eqs. (28) and (30) by the sampling procedure are less than 1.5%. For the grid models, with 108,000 wavelength points, the sampling errors are correspondingly smaller (by approximately a factor of  $(108,000/30,000)^{-1/2}$ ). They then lead to temperature errors of about  $0.002 \times T_{\text{eff}}$  K or less. For the M and C star models, we have found the corresponding errors in fluxes and temperatures to be reduced by a factor of three or more. This is a result of the overlapping molecular-line absorption which reduces the dominance of a few sampling-demanding strong metal lines in the flux derivative integrals in the upper model layers.

It should be noted that the fluxes, even if they are monochromatically precise within the model constraints, may be far from representative for the regions surrounding each wavelength point in the spectrum. Thus, model fluxes integrated to represent narrow spectral regions,  $\Delta\lambda$  wide, will have relative errors of typically  $0.3 \times [\lambda/(R\Delta\lambda)]^{1/2}$ . E.g., for 100Å wide bands at 5000Å, the sampling errors will correspond to standard deviations of typically 1.6%. Obviously, synthetic spectra more detailed than the model fluxes must be calculated for theoretical calibrations of e.g. narrow-band photometry. We have included the surface fluxes in all 108,000 wavelength points into the model files published on http://marcs.astro.uu.se — more detailed high-resolution synthetic spectra will also be gradually added.

For the integration of the  $\mu$  moments of the radiative intensity (in practice  $j_{\lambda}$ ) we use the  $\mu$  points defined by the angles in the crossing points between the different parallel rays with impact parameter  $p_i$  and the concentric spheres around the stellar centre with radii  $r_k$  corresponding to the discretization of the vertical  $\tau_{\text{Ross}}$  scale,  $\tau_k$  cf. Fig 1. I.e.,  $\mu_{ik} = (r_k^2 - p_i^2)^{1/2}/r_k$ , directions for which  $j_{\lambda}(\mu)$  is directly available. Considering the character of the radition field in a spherical atmosphere this is an adequate set of  $\mu$  points, in spite of the fact that they are different for different radii, and different wavelenghts. The typical number of  $\mu$  points is 6 in the plane-parallel case and typically 40-60 in the spherical models. The quadrature in  $\mu$  is performed using piece-wise cubic splines, as decribed by Nordlund (1984).

#### 5.3. Representation of line opacity

The line opacities have been pretabulated in the following way: For each individual species (e.g. H I, C I, C II, CH, C<sub>2</sub> etc.) and the full set of wavelength points, arrays of line absorption were calculated for a number of  $T - P_6$  points (or T points for the molecules).  $P_6$  is given by

$$P_6 = P(H I) + 0.42P(He I) + 0.85P(H_2)$$
(33)

where the static dipole polarizabilities and mean velocities of He I and H<sub>2</sub> relative to that of H<sub>I</sub> have been used to approximately scale the broadening for H I to these species. Altogether, 17 T values and 18  $P_6$  values were chosen. Such tables were contructed for 6 different values of the microturbulence parameter,  $\xi_t = 0, 1, 2, 5, 10$  and 20 km/s. Next, for a given chemical composition and microturbulence parameter the tables were summed for all atoms and ions, over the different species, with due consideration to the ionization equlibria. This gave one table of the summed atomic line absorption at each lambda point for each T,  $P_6$  and  $xi_t$  chosen. For each molecular species a table was produced for a combination of 12 T and 6  $\xi_t$  values. In the subsequent model-atmosphere calculations, the logarithmic line absorption coefficients were found from these tables by spline interpolation to the appropriate temperatures and logarithmic pressures. If models were needed at microturbulence parameters different from those of the tables, also interpolation can made.

In the calculation of opacity data tables the relevant absorption for each spectral line at each T and  $P_6$  value was added to the OS table for wavelengths points progressing to the blue and the red side of the central line wavelength as far as the line opacity exceeds a pre-defined cross section. Also for very weak lines each line was then included at at least two wavelength points. To limit the total computing time needed and still guarantee that

no significant opacity be lost, these limiting cross sections were empirically determined for groups of atoms and ions.

#### 5.4. Computing time, starting model and convergence

The total computing time needed for the calculation of a model atmosphere (the pre-calculation of the absorption coefficient tables excluded) scales approximately as follows: (1) For the radiative transfer part of the problem the time is proportional to  $n_{\mu} \times n_{\tau} \times n_{\lambda}$ . (2) For the calculation of ionization equilibria and molecular equilibria the time scales as  $n_{\tau}$  and (3) for the calculation of absorption coefficients as  $n_{\tau} \times n_{\lambda}$ . In addition to that, (4) some initiation time is needed. Setting  $N_{\tau} = n_{\tau}/28$ ,  $N_{\lambda} = n_{\lambda}/10^5$  and  $N_{\mu} = n_{\mu}/6$  and noting that we usually set  $n_{\mu} = 6$  in the plane-parallel case and that  $n_{\mu} \approx 2/3 \times n_{\tau}$  in the spherical case, we find empirically that the time  $t_{it}$  needed per iteration is, in seconds

$$t_{it} \approx 10 + 10N_{\tau} + 5N_{\lambda} + 10N_{\tau}N_{\lambda} + 2N_{\tau}N_{\lambda}N_{\mu}.$$
 (34)

For a typical spherical model with 108,000 wavelength points about 80 seconds per iteration are needed on a Mac Pro quad Intel Xeon 2.66GHz computer for one processor. For a plane-parallel model this is reduced to about 60 seconds. The relative small reduction reflects the fact that interpolation in the line-absorption tables (the  $N_{\tau}N_{\lambda}$  term) constitutes a major fraction of the computing time; only for a highly spherical case (when  $N_{\mu}$  becomes great) the radiative transfer calculations dominate. No doubt, the calculations of absorption coefficients and their derivatives could be speeded up further, e.g. by pre-tabulation. This would primarily be of interest at the calculation of extensive model grids, or of models with more complex physics than decribed by the approximations in Sec. 3, e.g. as regards hydrodynamics.

In multi-dimensional Newton-Raphson schemes like the present one, convergence is rapid, provided that a starting solution which is close enough to the final solution has been chosen.

When model calculations are started from scratch with the MARCS program, usually a gray starting model is chosen for the radiative zone. When the calculated flux in the convectively unstable zone of the first starting model exceeds the total flux, the temperature gradient is automatically and directly reduced, beginning at the onset of the convective instability, until the convective flux is smaller than the prescribed total flux. In the present grid, we usually start from a nearby model in the fundamental-parameter space. If the starting model has a different effective temperature, a simple scaling of the temperature structure  $T(\tau_{\rm Ross})$  may be applied, but this is not necessary if steps of only a few hundred K are taken in  $T_{\rm eff}$ .

The route towards convergence is often rapid but not always quadratical. The pronounced non-linearities, not the least in the temperature dependencies of the molecular equilibria with strong effects on the opacities may slow down the convergence if one is not very close to the final solution. Also, the changing presence and depth of the convective zone with temperature and metallicity affect the convergence. Usually, convergence to temperature corrections althrough the models of less than a few K, and logarithmic pressure corrections smaller than 0.01 dex, is obtained after 4 to 10 iterations. For the models with  $T_{\rm eff} \ge 4000$ K the convergence is close to quadratic with corrections reduced by almost one order of magnitude from one iteration to the next. For the cooler models the convergence is slower but still fast. For some parameter choices, however, converged models were not obtained. This is in particular the case for the models with a strong radiative pressure gradient (i.e. close to the effective Eddington limit, see Gustafsson & Plez 1992) which is close to upsetting the hydrostatic equilibrium condition, i.e. models with high temperatures and low gravities. Another difficulty occurs for models in a small band in the  $T_{\rm eff}$  – logg diagram, extending from about  $T_{\text{eff}} = 8000K$ ,  $\log g = 5$ , to  $T_{\text{eff}} = 6750K$ , log g = 3, where convergence is not achieved in the deepest layers of the models because the convectively unstable regions are swapping between thin convective zones and zones extending to depths below the bottom of the model. This difficulty may be circumvented by extending the depth scale of the model to deeper layers. Some of the models, though not fully converged in the deepest layers, are nevertheless presented in the grid since the spectrum forming regions (above  $\tau_{Ross} = 10$ ) are not affected at all. Convergence problems occurred for some of the coolest models with H<sub>2</sub> convection zones at the surface as well as for models where the radiative pressure totally dominates the gas pressure.

# 6. General properties of the models

All models of the grid are accessible via http://marcs.astro.uu.se/. The organization of the model files is described there. Details of structures, thermodynamic variables, molecular partial pressures and fluxes in  $10^5$  wavelength points are given in the files. In Fig. 2 we present some sample temperature structures of grid models with different effective temperatures and metallicities. It is seen that the variation with metallicity at the surface and in the deep layers increases when  $T_{\rm eff}$  decreases from 8000 K to 5000 K, and that this variation with metallicity changes sign at 4000 K in a non-trivial way. Subsequently, this and other properties of the grid models will be commented on. Our discussion here is confined to general properties of the model structures, while more details on models of particular types of stars, as well as discussion of model fluxes are deferred to later papers in this series.

#### 6.1. General effects of blanketing

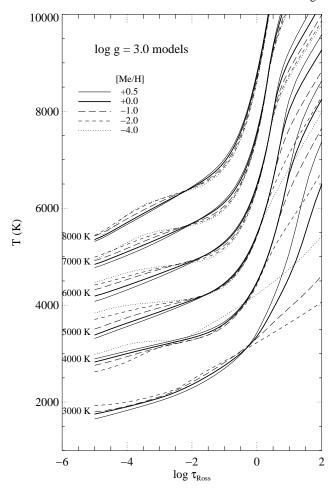
The effects of spectral lines on stellar atmospheres ("blanketing") have been explored thoroughly since it became possible to calculate models with lines included in the 1960s and 1970s. Here, we shall make a short summary of these results as a background for some more specific comments on the blanketing effects in the new grid.

In general, the blocking of radiation leads to heating of the deeper layers of the atmospheres ("back-warming"). Here and subsequently we measure the back-warming effect at a given continuum optical depth, in practice at  $\lambda=500$  nm (i.e. not  $\tau_{\rm Ross}$  which for the cooler models is strongly affected by line absorption). The back-warming is in fact easily estimated, directly from the definition of the effective temperature, by assuming that a model with effective temperature  $T_{\rm eff}$  is heated such that the deeper layers correspond to an unblanketed model with an effective temperature,

$$T'_{\text{eff}} = (1 - X)^{-\frac{1}{4}} \cdot T_{\text{eff}},$$
 (35)

where *X* is the fraction of the integrated continuous flux blocked out by spectral lines,

$$X = \frac{\int_0^\infty (F_{cont} - F_{\lambda}) d\lambda}{\int_0^\infty F_{cont} d\lambda}.$$
 (36)

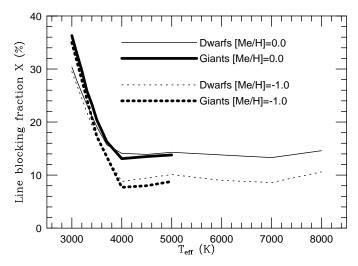


**Fig. 2.** The temperature structures for a set of model atmospheres with different  $T_{\text{eff}}$ ,  $\log g = 3$  and different metallicities.

The validity of this relation has been tested for models with different fundamental parameters by calculating X of these models, and next unblanketed models with effective temperatures revised according to the relation were constructed. Next, these models were then compared with the corresponding blanketed ones. The test came out favourably – for models all over the parameter space of the grid, the  $T_{\tau_{\text{Ross}}}$  structures in the interval  $-1 \leq \tau_{\text{Ross}} \leq 0.5$  were reproduced astonishingly well by the corresponding unblanketed ones; the additional steepening of the temperature gradient accross the  $\tau_{\text{Ross}}$  interval for the blanketed model with solar abundances may typically amount to  $0.02 \cdot T_{\text{eff}}$  per decade in  $\tau_{\text{Ross}}$ .

We have also calculated the total blocking fraction X for the grid models and find the remarkable behaviour shown in Fig. 3. Thus, X stays nearly constant for the temperature interval  $8000 \, \text{K} \geq T_{\text{eff}} \geq 4000 \, \text{K}$  for a given metallicity, and is closely identical for dwarfs and giants, while below  $4000 \, \text{K}$  it increases as temperature goes down, due to the heavy TiO and  $\text{H}_2\text{O}$  blocking. Here, the metallicity sensitivity of X decreseas (which reflects the fact that the molecular lines fill in the continuum regions in the spectrum and define the  $\tau_{\text{Ross}}$  scale;  $T(\tau_{\text{Ross}})$  being rather robust against metallicity changes) while the gravity sensitivity increases.

For the upper layers of the atmospheres the situation is more complex, as was discussed e.g. by Gustafsson et al. (1975), Gustafsson & Olander (1979) and Carbon (1979) and as is illustrated in Fig. 4.

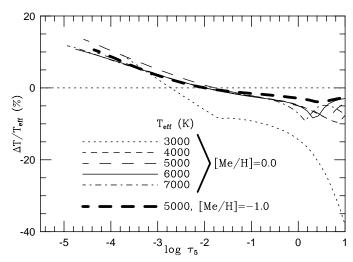


**Fig. 3.** The blocking fraction X in percent for models in the grid with two different metallicities. The dwarf models all have  $\log g = 4.5$  while the giant models have  $\log g$  values increasing with temperature, from  $\log g = 0.0$  at  $T_{\rm eff} = 3000 \, {\rm K}$  to  $\log g = 3.0$  at  $T_{\rm eff} = 5000 \, {\rm K}$ .

In short, for LTE the spectral-line absorption may cause a cooling or a heating, depending on whether the absorption is located on the long-wavelength side of the local Planck function (cooling, Case 1) or on the short-wavelength side. In the latter case, heating (2a) or cooling (2b) may result, depending on whether the absorption is concentrated to the upper layers (2a) or whether it extends to the deeper atmosphere (2b). Examples of Case 1 are the cooling by the IR VR lines of CO for models of late G stars (cf. Johnson 1973, Gustafsson et al. 1975) and by H<sub>2</sub>O in the IR for later M stars. A clear example of Case 2a is the heating by the electronic bands of TiO in early M-star models (Krupp, Collins and Johnson 1978) while a Case 2b example is provided by the metal-line absorption in the UV and blue for F and G stars. We note in passing that these surface effects are all dependent on the LTE assumption – in particular if the spectral lines are partially formed in scattering processes the effects may be significantly smaller due to the weaker coupling of the radiation to the temperature of the local gas. The backwarming effects, on the other hand, are not strongly dependent on the assumed line-formation mechanism as long as the amount of blocking is unchanged. Missing or erroneous line absorption data may, however, still be of significance as a source of systematic errors in the back-warming effects.

The general effects of blanketing are illustrated for the grid models by a test where all line absorption was swichted off. All models with  $T_{\rm eff} \geq 4000~K$  and solar metallicity show a similar reponse: the temperature is increased by about 10% in the outermost layers if the line opacity is neglected, an increase which gradually diminishes to zero at  $\tau_{\rm Ross} = 0.01$  and then changes to a lowering of the temperatures at depth since back-warming is inhibited. (cf. Fig. 4). For the coolest giants the effects become considerably greater.

We shall now give some more specific comments on effects of blanketing in the new grid, with remarks on the effects of various opacity sources, and on the effects of changing abundances and microturbulence. Furthermore, we shall comment on the effects of sphericity, and explore some interesting coupling between these latter effects and blanketing.



**Fig. 4.** The effects of switching off line absorption on the temperature structure of a sequence of models with  $\log g = 3.0$  and solar metallicity. Note that  $\Delta T \equiv T(\text{nolines}) - T(\text{lines})$ . It is seen that the blanketing effects are fairly independent of effective temperature for models with  $T_{\text{eff}} \geq 4000$ .

#### 6.2. Effects of different opacity sources

In Fig. 5 we present the effects of different opacity sources, measured as integrated effects on the surface and at depth in a set of models for main-sequence stars. We have thus defined the quantities

$$\delta_s \equiv \int_{-4}^{-2} \Delta T(\tau_5) d\log \tau_5 / \int_{-4}^{-2} d\log \tau_5$$

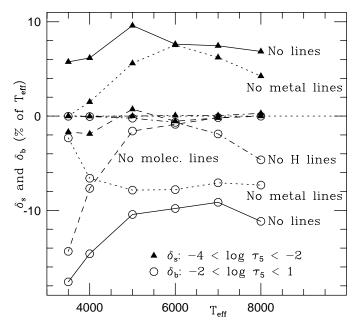
$$\delta_b \equiv \int_{-2}^{1} \Delta T(\tau_5) d\log \tau_5 / \int_{-2}^{1} d\log \tau_5.$$
(37)

 $\Delta T$  is the quantity

$$\Delta T(\tau_5) \equiv [T(\tau_5) - T'(\tau_5)]/T_{\text{eff}} \tag{38}$$

where  $T(\tau_5)$  is the temperature structure measured at the continuum optical depth at 5000 Å and  $T'(\tau_5)$  the corresponding quantity for a modified model atmosphere with the same fundamental parameters but for which line opacity sources have been neglected one at a time or all simulataneously (such that the corresponding model is unblanketed). The reason why  $\tau_5$  and not  $\tau_{Ross}$  were chosen here is that the Rosseland mean is directly affected by the spectral lines, in particular for the cooler stars, while we here wish to separate these effects on the temperature structure from those on the  $\tau$  scale.

We see from Fig. 5 that for a sequence of main-sequence models with solar metallicity the total blanketing effect, both at the surface and in the deeper layers, is rather temperature independent. Thus, the mean surface cooling amounts to about 7% of  $T_{\rm eff}$  and the mean heating of the layers below  $\tau_5 = 10^{-2}$  is about 11%, over most of the effective-temperature interval. I.e., the somewhat decreasing importance of metal lines when proceeding towards the hot end of our effective-temperature interval is rather well compensated for by the increasing hydrogenline blanketing, and the diminishing effects of metals for the cooler models are compensated for by the molecular blanketing. These compensation effects explain why relatively old differential abundance analyses, made by primitive model atmospheres such as scaled solar models, are often found to agree rather well with more recent results based on models with much more complete atomic and molecular data. In Fig. 6 we display the corre-



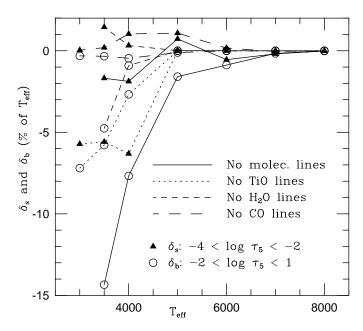
**Fig. 5.** Structural effects on the surface (black triangles) and depth (open circles) of model atmospheres by different groups of spectral lines. The solid lines show surface-heating and bottom-cooling as defined in Eq. (37) when all spectral lines are removed in the calculation of solar-metallicity dwarf models ( $\log g = 4.5$ ). The dotted, dashed, and dash-dotted lines show, respectively, the effects of removing only the metal lines, the molecular lines, or the hydrogen lines. The structural effects of spectral lines are surprisingly similar for all solar-type stars. The removal of all line opacity makes the models quite isothermal in the optically thin surface layers.

sponding effects when absorption by different molecular species is left out. It is seen that the only significant molecular effects at the surface for these models are the surface cooling due to CO for moderately cool models and to  $H_2O$  for the coolest ones and the surface heating due to TiO, while in the deeper layers the significant back-warming is due to TiO and  $H_2O$ . Other molecules, like CN, play only a very minor role in this respect.

#### 6.3. Effects of abundance changes

The variation of certain abundances in the MARCS grid lead to effects on the models. Such effects were explored for the CNO abundances of G and K giant models by Gustafsson et al. (1975), for M and S stars by Plez et al. (2003) and for carbon stars by Lambert et al. (1986) and Jørgensen, Johnson & Nordlund (1992). The results given here are illustrative and complementary to those of previous studies – more detailed discussion of abundance effects will be presented in later papers in this series.

The recent considerable downward corrections of solar CNO abundances by Asplund and collaborators (Grevesse, Asplund & Sauval 2007 and references therein) are found to lead to very minor effects for most regions in the parameter space spanned by the present grid. Thus, for the temperatures at given  $\tau_{\rm Ross}$ , the effects are less than 1 % ×  $T_{\rm eff}$  for all depths and luminosities for giants at solar metallicity. The most pronounced effects in the surface layers appear in models with  $T_{\rm eff} \leq 3500$  K and models with  $T_{\rm eff} \approx 5000$  K where the reduced cooling due to H<sub>2</sub>O and CO, respectively, is visible.



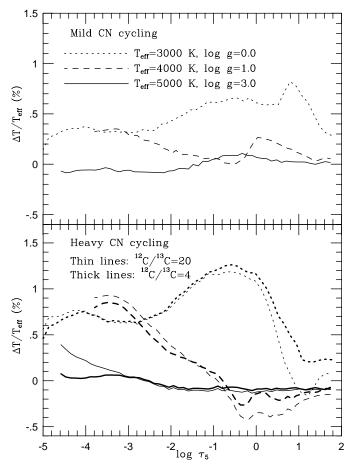
**Fig. 6.** Similar to Fig.5. The structural effects of molecular lines separated for different species to show the results of removing the line opacity of TiO, water, and CO, respectively.

The CNO abundances in stars are modified through the first dredge-up along the subgiant-giant branch, mostly converting C to N (see, e.g., Boothroyd & Sackmann 1999, Charbonnel 1994). The effects of this on the model atmospheres are, however, small or moderate, as illustrated in Fig. 7. The two most important effects are again the enhanced  $\rm H_2O$  surface cooling for the coolest models (since more O is available if C and thus CO is depleted), and a diminished CO cooling for the somewhat hotter models. The changes due to effects by CN are marginal. The accompanying changes of  $\rm ^{12}C/^{13}C$  ratios are obviously only of minor significance.

The most severe atmospheric effects of CNO abundance changes occur, however, when the carbon abundance is raised by dredge-up on the AGB and, as the carbon abundance approaches or exceeds that of oxygen, the star becomes an S or a C star, respectively, with drastic changes in radiation fields and thus temperature-pressure structure. This transition has been explored using MARCS models (for references, see above), and will be further discussed in Paper V and Paper VI in the current series. As an illustration here, however, we present a sequence of models, with C/O ratios ranging from 0.5 to 2.4 in Fig. 8.

The most metal-poor stars known until now show severe departures from "standard" CNO abundances: they seem to be comparatively very rich in the CNO elements (cf. Christlieb et al. 2004, Frebel et al. 2005, Norris et al. 2007 and references therein). In general, there is a group of low-metallicity stars with considerably enriched carbon abundances, so called Carbon-Enriched Metal-Poor (CEMP) stars, (see, e.g., Aoki et al. 2007), which may show carbon enrichments ranging in the interval between a factor of 10 and 1000 relative to a solar C/Fe, as well as similarly considerable N enrichments and possibly also O enrichments. The effects on the atmospheric structures from these enrichments are illustrated in Fig. 9 for one representative CEMP giant model.

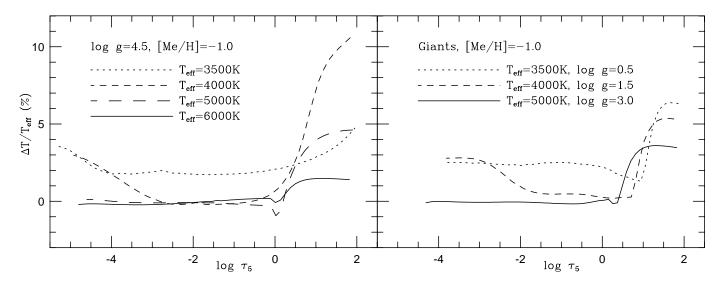
It is seen that the enhanced C and N abundances heat the layers  $-2 \le \log \tau_5 \le 0.5$  considerably for these model parameters (a result which was also found by Hill et al. 2000). Also



**Fig. 7.** The effects of dredge-up of CN-cycled material to the surfaces of Pop I giant stars as illustrated by model atmospheres with different C and N abundances. In the top panel carbon has been converted to nitrogen such that the C/N abundance ratio has been lowered from the solar ratio of 4.07 to 1.5, typical for the first dredge-up in low-mass stars. In the lower panel the ratio has been further lowered to 0.5 and there also the effects of changing the  $^{12}$ C/ $^{13}$ C ratio are displayed. The stronger back-warming of the 3000 K models is due to the increased importance of water as more oxygen gets available when the CO abundance is decreased by the reduction of carbon while the heating effect at the surface merely reflects the inadequacy of  $\tau_5$  for this heavy line-blocked model – for a plot of the temperature vs.  $\tau_{Ross}$ , an increased cooling at the surface appears. In the 5000 K model the decreased CO cooling leads to a hotter surface.

the deep layers get warmer, which is due to the increased electron contributions from the enhanced carbon. This increases the  $H^-$  opacity which lowers the density at a given optical depth and therefore reduces the convective energy flux which increases the inner temperature gradient. These effects change if also O is enhanced correspondingly. Then the CO cooling takes over in the surface layers, and much of the  $C_2$  and CN heating absorption vanishes, since most of the carbon is bound in the CO molecules.

The effects of the observed increase of  $[\alpha/\text{Fe}]$  for galactic, though seemingly not all, metal-poor stars can also be explored using the grid models. Although these changes are easily seen in spectra of the models, e.g. in the strengths of the Mg and Ca lines, the effects on the temperature-pressure structures are only minor. As is seen in Fig. 10 they are, however, of some significance for models with  $T_{\rm eff} < 4500\,\rm K$  where the higher Ti abundance increases the surface heating as well as the backwarming



**Fig. 10.** The effects of an increase in the  $\alpha$ -element abundances by +0.4 dexon the temperature structure of models of dwarfs and giants with [Fe/H]=-1.0. The comparison model in each case is a corresponding model with no  $\alpha$ -element enhancement ( $[\alpha/Fe]$ =0.0). The increased electron pressure lowers the density and convection efficiency at a certain Rosseland optical depth which increases the temperature gradient in the deeper parts of a model.

of the TiO absorption. Simultaneously, the electron contributions from Mg and Ca increase and raise the  $H^-$  opacity, which partly reduces the effects of the Ti abundance change. As discussed above for the CEMP star models, the higher electron pressures also reduce the densities at a given optical depth, which diminishes the convective flux and thus admits a stronger temperature gradient in the deep atmosphere.

It should finally be noted that systematic errors in the models, due to missing opacities, departures from LTE or convectively generated inhomogeneities and errors in calculated convective fluxes, may well vary systematically with chemical composition. Thus, the trends discussed in the present section may have to be revised when more realistic models are available.

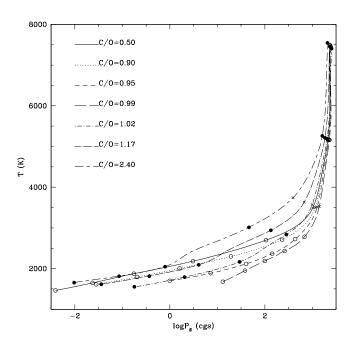
# 6.4. Blanketing effects of turbulence

In the present grid, there are models calculated with different microturbulence parameters  $\xi_t$ , but with otherwise identical input data. This makes it possible to systematically explore the dependence of the blanketing effects on microturbulence. Before discussing the results some considerations of what one could expect will be presented.

An increased microturbulence enhances the blocking of the spectrum by isolated saturated spectral lines, in proportion to  $\xi_t$ . So, if all lines were saturated one might estimate from Eq. (35) that the backwarming  $\Delta T/T$  would increase by about  $1/4 \cdot \Delta \xi_t / \xi_t \cdot X$ . However, this is an upper limit since a considerable fraction of the spectral lines (though not necessarily those that contribute most significantly to the blocking) will not be saturated – their equivalent widths will be uneffected by a changing  $\mathcal{E}_t$  and thus the total blocking not changed. Also, for more metalrich stars the overlapping of strong lines reduces the differential effects of a  $\xi_t$  increase, as does the fact that many of these lines have strong damping wings. We thus expect the effects of microturbulence changes on the backwarming to be small for the most metal-poor stars where even the strongest lines are unsaturated, then increase but finally level off for the most metalrich and coolest models, and never reach the estimated upper limit. These expectations are verified by the models, as is illustrated in Fig. 11. The differential back-warming caused by an increased microturbulence parameter, e.g. by a factor of 2, is of about the same magnitude as the result of doubling the metallicity. This suggests that the total blocking contribution of lines on the flat part of the curve-of-growth (mainly sensitive to  $\xi_t$ ) is of similar significance as that of weak spectral lines (proportional in strength to the metallicity); this picture is complicated by both the effects of lines on the damping-part of the curve-ofgrowth (with only a square-root dependence on abundance) and the strong abundance sensitivity of some diatomic molecules. As regards the differential blanketing effects in the upper atmosphere when the microturbulence parameter is changed, the situation is not quite obvious. The first, heating, part of the radiation equilibrium integral in Eq. (30) will not change in itself if only the line-absorption profiles become broader. If the effects on  $J_{\lambda}$ are taken into consideration, the decrease of the intensity over larger wavelength regions by the widening of the lines will, as long as they are weak, be compensated for by the increase of  $J_{\lambda}$ in the line center. However, for saturated lines  $J_{\lambda}$  will be reduced over broader wavelength intervals when  $\xi_t$  is increased, and thus the heating term will become smaller. The second, cooling, term will not change from a broadening of the line profiles. Thus, a net cooling is expected to occur. We see from Fig. 11 that in practice increasing  $\xi_t$  from 1 to 2 km/s leads to some moderate cooling (on the order of 0.5 % in  $T/T_{\text{eff}}$ ) of the upper layers for all effective temperatures while further increase up to 10 km/s leads to further cooling (by up to 2%, for the coolest models), however, this balance shifts to heating in the very surface layers.

Changes in the macroturbulent parameter (classically representing velocity shifts on geometrical scales corresponding to at least one optical depth unit in the continuum) are not able to change the model structures through radiative field changes; concerning effects of changes in the turbulent pressure which may be related to both macro- and microturbulent motions, see Sec 3, above.

Real stellar atmospheres are not believed to be well represented by the concepts of micro- and macroturbulence. Velocity gradients on intermediate scales are of vital importance, as has been shown by convective simulations (Nordlund & Dravins,

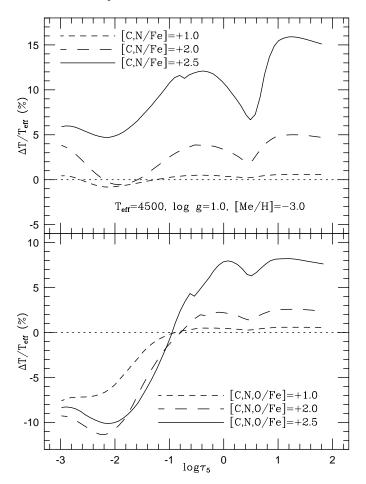


**Fig. 8.** A sequence of MARCS spherical model atmospheres with  $T_{\rm eff} = 3000$  K,  $\log g = 0.0$ , M = 1  $M_{\odot}$ . The models have different abundance ratios, C/O, marked in the figure, but else solar metal abundances. Points on the temperature-pressure relations with  $\log \tau_{\rm Ross} = -5$ , -4,-3,-2,-1,1 and 2 are marked with circles, which are filled for the carbon-rich models (C/O $\geq$  1.00). Points where  $\log \tau_{\rm Ross} = 0$  are marked with  $\times$ . The figure demonstrates the strongly increasing pressures at given temperature and optical depth as the C/O ratio increases, until C/O reaches 1.0 when the situation is drastically reversed as the opacities increase and the pressures decrease again. The models with C/O  $\leq$  0.9 show M-type model spectra, those in the interval 0.9  $\leq$  0.98 S-type spectra, while those with greater C/O show N-star spectra.

1990, Asplund et al. 2000a), and global gradients caused by pulsations as demonstrated in Lederer et al. (2006) and references therein. As regards the effects on the radiative field, these gradients allow for more heating in spectral lines since the gas high up in the atmosphere may absorb hot radiation from lower layers that was not absorbed below; similarly, the gas may cool more efficiently through spectral lines since the shifts make the gas more transparent in overlying layers. However, even more important are the hydrodynamical effects due to gas expansion and compression on the energy balance. Altogether, this makes the representation of the energy balance in real stars by classical models quite problematic; also, there is no hope to gain further insight into the physics of these systems by fine-tuning the turbulence parameters.

# 6.5. Effects of sphericity

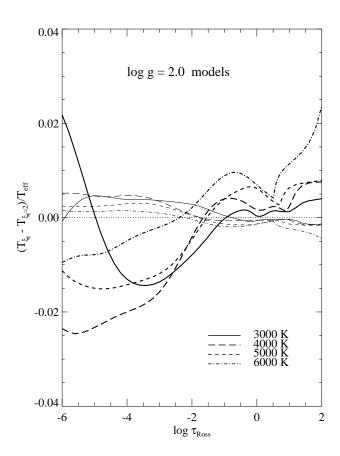
The properties of spherically symmetric model atmospheres for late-type stars have been discussed or commented upon in numerous studies. The area was first pioneered by Schmid-Burgk & Scholz (1975) and Schmid-Burgk, Scholz & Wehrse (1981 and papers cited therein), and later explored by Plez (1990), Plez, Brett & Nordlund (1992), Jørgensen, Johnson & Nordlund (1992), Hauschildt et al. (1999) and Heiter & Eriksson (2006). The papers by Plez and collaborators, by Jørgensen and collaborators



**Fig. 9.** The effects of non-solar CNO abundance ratios on extreme Pop II star models with  $T_{\rm eff}$ =4500 K,  $\log g = 1.0$ , [Me/H]=-3.0. In the top panel the carbon and nitrogen abundances have been increased by factors of 10, 100, and 300 above the solar ratio to iron, oxygen and all other elements. The heating in the middle layers, primarily due to  $C_2$ , is strong, and even more so at depth which is due to the increased electron pressures and continuous opacities. In the lower panel also oxygen is enhanced. The increased electron pressures in the more CN(O)-rich models lowers the density and convection efficiency at a certain optical depth which increases the temperature gradient. The major surface cooling agent in the outer surface layers is CO.

orators and by Heiter & Eriksson, all build on earlier versions of the MARCS code. These papers demonstrate that the effects of sphericity are in general important for the temperature structures, causing relative temperature effects on the order of 1% or more, for extensions of the atmosphere normalized on the stellar radius greater than about 2%. The relative extensions scale roughly as  $g^{-1/2}$ ; the sphericity effects are thus mainly important for low-gravity models. These papers do however not systematically explore the effects on the models and fluxes of changing from plane-parallel to spherical symmetric geometry. Here, some further comments will be made on this topic on the basis of the new model grid.

The *extension*  $z_0$  of the stellar atmosphere, measured as the geometrical thickness of the atmosphere (for instance defined as the layers with  $-5.0 \le \log \tau_{Ross} \le 0.0$ ) is a key factor in the discussions. It is easy to estimate the extension from the hydrostatic-equilibrium equation, the definition of optical depth



**Fig. 11.**  $T(\tau_{Ross})$  for models with different microturbulence parameters  $\xi_t$ , minus  $T(\tau_{Ross})$  for a model with  $\xi_t = 2$  km/s, for solar composition,  $\log g = 2.0$  and different effective temperatures. Thick lines denote models with  $\xi_t = 10$  km/s, thin lines with  $\xi_t = 1$  km/s.

and the gas law for an ideal gas. The relative thickness of the atmosphere from optical depth  $\tau$  up towards the surface at  $\tau_0$  is thus:

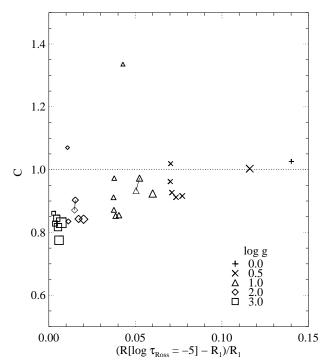
$$\frac{z_0}{R_1} = \frac{\mathcal{R} < T >}{<\mu_{\rm mol} > gR_1(\eta + 1)} \cdot \ln(\frac{\tau}{\tau_0}) = 4 \cdot 10^{-7} \frac{R_1^{\circ} \cdot T_{\rm eff}}{M^{\circ} \cdot (\eta + 1)}.$$
(39)

Here, we have assumed the variation of the pressure in the atmosphere to be dominated by the density variation – the temperature and the mean molecular weight  $\mu_{\rm mol}$  in the gas law were thus approximated by a constant characteristic temperature and molecular weight, < T > and  $< \mu_{\rm mol} >$  (here set = 1.26), respectively. The mass absorption coefficient (opacity per gram)  $\kappa_g$  is assumed to vary (only) with the density  $\rho$ ,  $\kappa_{\rm g} = k \cdot \rho^{\eta}$ .  $R_{\rm loc}^{\circ}$  and  $M^{\circ}$  are the model radius and mass, respectively, in solar units.

According to our analytical estimate of the relative extension in Eq. (39), the quantity

$$C = 2.5 \cdot 10^{6} \left[ \frac{z_0}{R_1} \right] \cdot \frac{M^{\circ}}{R_1^{\circ} \cdot T_{\text{eff}}} \cdot (\eta + 1)$$
 (40)

should be approximately constant and equal to 1.0. It is seen in Fig. 12 that the relative extension is fairly well accounted for by the approximate expression, and that the choice of  $\eta=1$  is a reasonable fit for an extensive part of the HR diagram, which is to be expected since the dominating H<sup>-</sup> absorption per gram is roughly proportional to the pressure. Note that the relative extension of an atmosphere is relatively independent of the magnitude



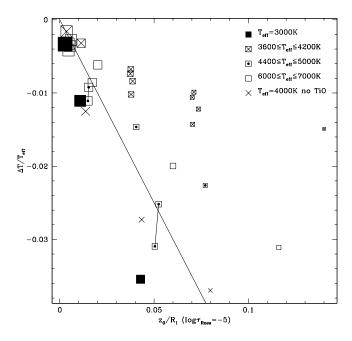
**Fig. 12.** The value of C as calculated from Eq. (40) with model values of  $z_0/R_1$  for a set of models with  $T_{\rm eff}$  ranging from 3000 K to 8000 K (with bigger symbols the higher the effective temperature) and metallicities [Me/H]=0.0, except for two models with [Me/H]= -1.0 which are attached with thin lines to corresponding [Me/H]=0.0 models.  $\eta$  was chosen = 1. The value of C when based on the value of the extension  $z_0/R_1$  estimated from Eq. (39) is 1.0 and shown by the horizontal dotted line.

of the opacity, which cancels in the zero-order approximation. A depth-variation of  $\kappa$  is of some significance, but only marginally so. The most important sphericity effects related to the opacity are more indirect, through the effects that a changed temperature structure may lead to, in particular when molecular absorption is switched on, as will be demonstrated below.

We now turn to the sphericity effects on the temperature structure of the models. The temperature in radiative equilibrium is set by the radiative field, following Eq. (30). The basic effects due to sphericity at a point high up in a stellar atmosphere come from the general dilution of the radiative field, partially due to the absence of incoming radiation from angles  $\mu \leq \mu_0$  where  $\mu_0$ gradually increases the further from the optically thick layers the point is situated. Basically, this leads to less radiative heating of the upper layers of the atmosphere and thus cooler temperatures there. One may prove that this reduction of the mean intensity for small extensions will scale with  $(z_0/R_1)^{1/2}$ . For larger distances from the star, a simple and approximative estimate for the temperature effect, was presented by Gustafsson et al. (1975) (see also Böhm-Vitense 1972) who assumed that the radiative flux should be roughly proportional to radius  $r^{-2}$  and to temperature  $T^4$  as one may estimate from Stefan-Boltzmann's law. This leads to the estimate

$$\Delta T/T \approx -0.5 \times z_0/R_1. \tag{41}$$

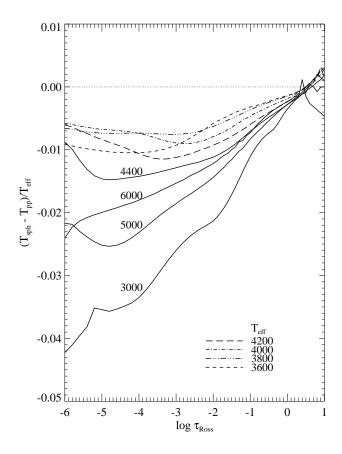
The effects of sphericity on the temperature structures of the models are shown in Fig. 13 and compared with the approximate expression in Eq. (41). The agreement is satisfactory, though certainly not perfect, which is partially explained by the approximations made in deriving it. As important are the effects of the



**Fig. 13.** The difference in the surface temperature (at  $\log \tau_{\rm Ross} = -5$ ) between spherical and plane-parallel models with  $T_{\rm eff}$  ranging from 3000 K to 7000 K (with bigger symbols the higher  $\log g$ ) and metallicities [Me/H]=0.0, except for two models with [Me/H]=-1.0 which are attached with thin lines to corresponding [Me/H]=0.0 models (the lower symbols in the pairs representing the more metal-poor models). Three models at  $T_{\rm eff} = 4000$  K have also been calculated with TiO absorption neglected and are denoted by crosses – it is seen that the positions of these models depart very significantly from the corresponding standard models. The relation according to Eq. (41) is shown as a straight line.

wavelength dependence of  $\kappa_{\lambda}$ , which weights the mean intensity  $J_{\lambda}$  and the Planck function  $B_{\lambda}$  in the radiation equlibrium integrals of Eq. (30) differently for different models, depending in particular on the molecular absorption, and resulting in the large scatter in Fig. 13.

Now turning to the detailed model results, the sphericity effects on  $\Delta T/T$  are shown as a function of  $\tau_{Ross}$  for a sequence of supergiant models with different effective temperatures in Fig. 14. Obviously, the effects shift with  $T_{\text{eff}}$ . First being relatively small for the hottest models, they considerably increase for  $T_{\rm eff} \sim 5000 \, K$ , then diminish again to reach a minimum at about 3800 K and finally again increase strongly as  $T_{\rm eff}$  goes towards 3000 K. This behaviour may be interpreted as a coupling between the sphericity effects and the effects of molecular blanketing. The coupling may show up as a positive or negative feed-back. Sphericity cools the upper layers, relative to a plane-parallel model, and the molecular formation increases. Around 5000 K this leads to a strong surface cooling by CO. For cooler models TiO forms, which conversely heats the upper atmosphere. Finally, H<sub>2</sub>O takes over as a dominating opacity source, and that again cools the model surface. That this explains the behaviour can be tested by blocking out different molecular opacities from the model calculations. In Fig. 15 we thus show a sequence of models at  $T_{\text{eff}} = 4000 \,\text{K}$  with different log g and



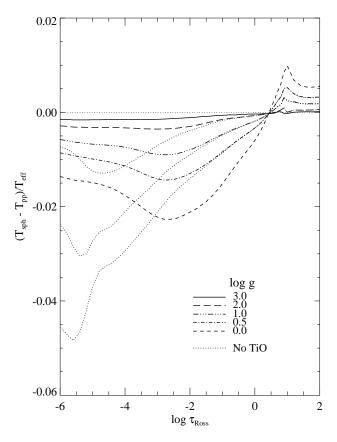
**Fig. 14.** The difference between temperature structures of spherically symmetric and corresponding plane-parallel models with  $\log g = 1.0$ ,  $M = 1.0 \, M_{\odot}$ , [Me/H]=0.0, and  $T_{\rm eff}$  ranging from 3000 K to 6000 K. The complex variation of the sphericity effects in the upper layers when TiO sets in as a heating agent at  $T_{\rm eff} \approx 4000 \, \rm K$  is illustrated.

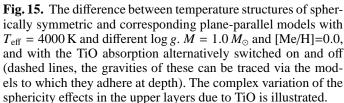
with and without TiO. It is clear that the differential sphericity effects above  $\tau_{Ross}$  are changed significantly by the TiO absorption. From this figure we also see that the sphericity effects in the temperature structure get significantly greater than 1% for models with  $\log g \leq 1.0$ . However, if turbulent pressures are allowed for this value may be higher, according to Eq.(7).

## 7. Comparison with other model grids

We shall here make some comparison of MARCS grid models with the models of other existing grids, but confine this comparison to the temperature structures – some additional comments on calculated fluxes will be be made in subsequent papers of this series.

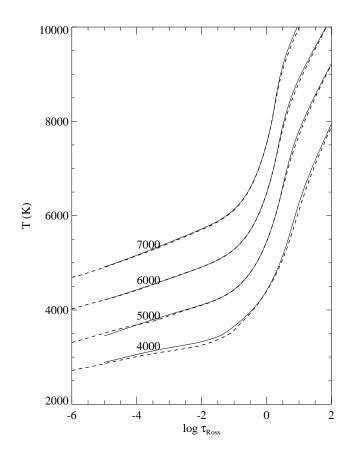
The most extensive and widely used grid of models for most of our parameter space are the plane-parallel models of R. Kurucz and collaborators (available on <a href="http://kurucz.harvard.edu">http://kurucz.harvard.edu</a>). Among the different sets of models published there, it is particularly relevant to compare with those that are calculated by Castelli & Kurucz 2003) with a standard mixing-length theory (without "convective overshoot"), which also seem to produce more consistent model parameters when applied to real data (Castelli, Gratton & Kurucz, 1997). Some sample comparisons of model structures are shown in Figs. 16,





17 and 18, where we have chosen Castelli & Kurucz ODFNEW models to compare with. It is seen that the agreement in the temperature structures is almost perfect for the models of solar-type dwarf stars of different metallicities. This is true also for models of early M dwarfs. For the models of giants and supergiants, the agreement is again good, although the MARCS models tend to be some 10-80 K cooler in the surface layers from  $\tau_{Ross} \leq 10^{-2}$ . The ageement is also very satisfactory if pressures or densities are intercompared. In view of the fact that these two grids of models are made with two totally independent numerical methods and computer codes, with independent choices of basic data (although Kurucz's extensive lists of atomic line transitions are key data underlying both grids), this overall agreement is both satisfactory and gratifying.

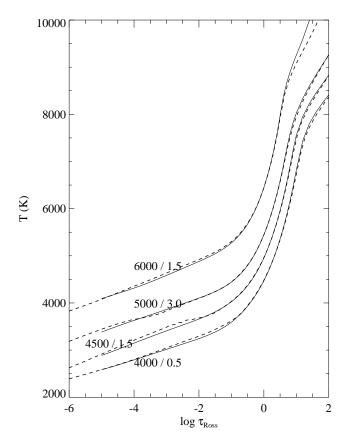
The situation was somewhat less satisfactory for models from the NextGen grid of spherically symmetric models, calculated with the PHOENIX code (Hauschildt et al. 1999, models obtained by private communication in 1996). In the inner photospheres the agreement is good but again a difference systematically appears at the surface, starting around  $\tau_{Ross} \approx 10^{-2}$ , with the MARCS models beeing cooler, but now by as much as typically 250 K. (As is seen in Fig. 7, the agreement between the Castelli & Kurucz and the MARCS models is generally much better than with the NextGen models.) However, more recent LTE models (PHOENIX-ACES-2008) obtained by Hauschildt

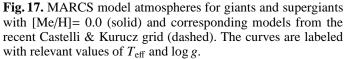


**Fig. 16.** MARCS model atmospheres (solid) for dwarf stars with  $\log g = 4.5$ , [Me/H]=0.0 and corresponding models from the Castelli & Kurucz ODFNEW grid (dashed). The curves are labeled with relevant values of  $T_{\rm eff}$ .

with an updated version of the PHOENIX code (Hauschildt, private communication) and which are also based on Kurucz line data, agree very well with the MARCS models. The basic reason for this improvement in the fit seems to be due to changes in molecular opacities. Again, the present overall fit is very satisfactory, in view of the independence of the methods of the MARCS and PHOENIX teams.

A number of comparisons with models made by previous versions of MARCS have also been performed. In Fig. 20 we thus compare with models of G and K giants (Bell et al. 1976) calculated with the first version of MARCS. It is seen that the present temperature structures agree quite well with the older ones in spite of the much more incomplete line absorption data of those models. (An exception from this is seen in the outer layers of the 4000 K model, where the TiO absorption, missing in the BGEN models, contributes a surface heating of the more recent model). The pressures in the present models are, however, significantly lower which reflects their more heavy opacities. The good agreement in the temperature structures must thus at least partially be fortuitous, e.g. reflecting that the line absorption missing in the 1976 models is not heavily biassed towards the ultraviolet or the red spectral regions relative to the absorption that is in. In Fig. 20 we also show one example where a spherically symmetric model for a late-type M supergiant is compared with a previous spherically symmetric MARCS model of Plez et al. (1992). The considerable differences in the upper photosphere are due to the new and improved molecular data



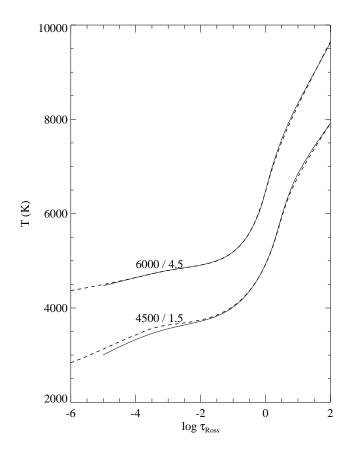


used now and its more detailed representation, in particular for the  $\mathrm{H}_2\mathrm{O}$  absorption.

#### 8. Interpolation between the models

It is useful to be able to interpolate the model structures to a set of parameters  $T_{\text{eff}}$ , log g, and [Me/H] different from those tabulated in the grid. Such an interpolation is not completely straightforward, as strong non-linearities appear in the behaviour of some of the thermodynamic variables when stellar parameters are varied. One program was developed by T. Masseron (2006) and extensively tested by him on a previous grid of MARCS models encompassing the following range of parameters: 3800 K<  $T_{\rm eff}$  <7000 K, 0.0 < log g < 5.0, -4.0 < [Me/H] < 0.0. It interpolates  $T, P_g, P_e, \kappa_{Ross}$ , and the geometrical depth as a function of  $au_{Ross}$ . The interpolated model must lie inside a complete cube of existing models in the parameter space ( $T_{\text{eff}}$ , log g, [Me/H]), and the interpolation is optimized to account for non-linearities in the grid. With the actual grid parameter steps, maximum errors in the interpolated quantities should remain below 0.25% for T and a few % for  $P_g$  and  $P_e$ , whereas  $\kappa_{Ross}$  and the column density (*rhox*) are more difficult to interpolate. The program, with a detailed user manual, is available on the MARCS web site: http://marcs.astro.uu.se.

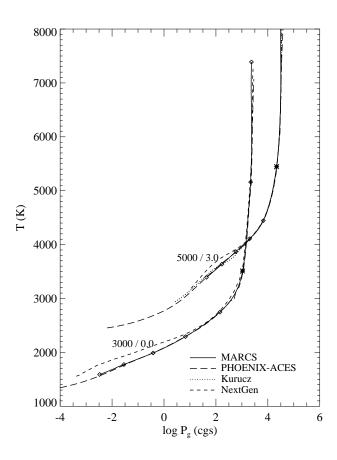
An interpolation routine, applied to structures, as well as fluxes and colours of models by Kurucz and collaborators, has also been described by Nendwich et al. (2004), see also Valenti & Fischer (2005).



**Fig. 18.** MARCS model atmospheres with [Me/H]=-2.0 (solid) and corresponding models from the Castelli & Kurucz ODFNEW grid (dashed). The curves are labeled with relevant values of  $T_{\rm eff}$  and  $\log g$ .

# 9. Conclusions

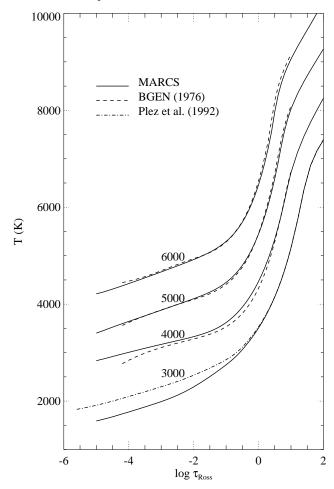
It will certainly take additional time before model atmospheres for late-type stars are regularly constructed with physically more adequate assumptions than the standard ones – of spherical symmetry, mixing-length convection and LTE – adopted here. Until then, standard models will be the common choice in e.g. abundance analyses. Here we have presented such a grid of standard models to the state of the art. It includes model atmospheres for stars of spectral types from F to M, as well as carbon stars and Pop II stars of different metallicities. The assumptions, physical data used and numerical methods have been described, and a number of general properties of the models have been illustrated. In particular, we have discussed the effects of blanketing and sphericity in some detail and tested several of the existing approximate analytical estimates of these effects and found them to work quite well. Also, already existing grids, such as the grid of plane-parallel models by Castelli & Kurucz and the new PHOENIX grid, have been compared with and the agreement has been found to be excellent in the region of overlapping parameters. This is particularly gratifying in view of the fact that the different approaches, as regards numerical methods and codes and to a considerable degree also the selection of data, are independent. We may then basically regard the calculation of standard 1D LTE model atmospheres as becoming routine, but important improvements in line absorption data are still needed, and certainly not easily achieved. This is also true for data for dust formation and dust opacities.



**Fig. 19.** Model atmospheres with solar abundances, from the MARCS, NextGen and PHOENIX-ACES-2008 grids and (for  $T_{\rm eff}=5000\,\rm K$ ) the ODFNEW Castelli & Kurucz grid. log g is 3.0 (5000 K) and 0.0 (3000 K), respectively. The MARCS and NextGen models are spherically symmetric with  $M=5M_{\odot}$  while the Kurucz model is plane-parallel. Along the MARCS curve every decade in  $\tau_{\rm Ross}$  is marked by a  $\diamond$  sign, and  $\tau_{\rm Ross}=0.0$  by a  $\times$ . The general impression of a better agreement between MARCS and Castelli & Kurucz models than between MARCS and NextGen models is also shown if the temperature is plotted relative to optical depth.

Further presentations and discussions of particular properties of these models will appear in subsequent papers in this series. Hopefully, these will serve also as starting points for further studies of the adequacy and shortcomings of the standard models, and as a stimulus for endeavours to set higher standards in the art of modelling stellar atmospheres in the future.

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**Fig. 20.** Model atmospheres with solar abundances, from the present MARCS grid compared with corresponding MARCS models from Bell et al. (1976, BGEN, dashed) and with one model from Plez (1992).  $\log g$  is 3.0 and the geometry is planeparallel for the 6000 K, 5000 K and 4000 K models; the 3000 K models are spherically symmetric with  $M = 5 \rm M_{\odot}$  and  $\log g = 0.0$ .

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